

# GaN HEMT Reliability

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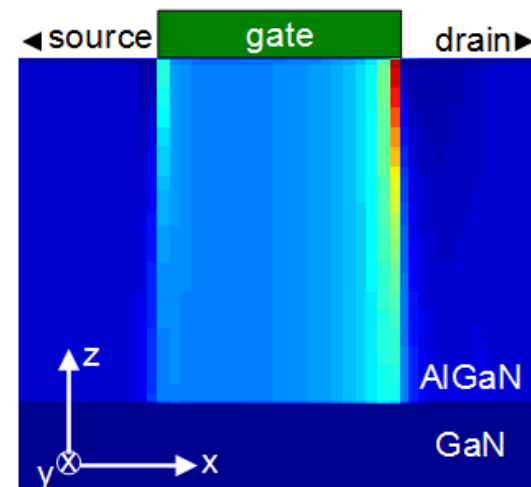
**ESREF 2009**

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ARL (DARPA-WBGS program), ONR (DRIFT-MURI program)

Jose Jimenez, Sefa Demirtas



# 1. Introduction: GaN Reliability

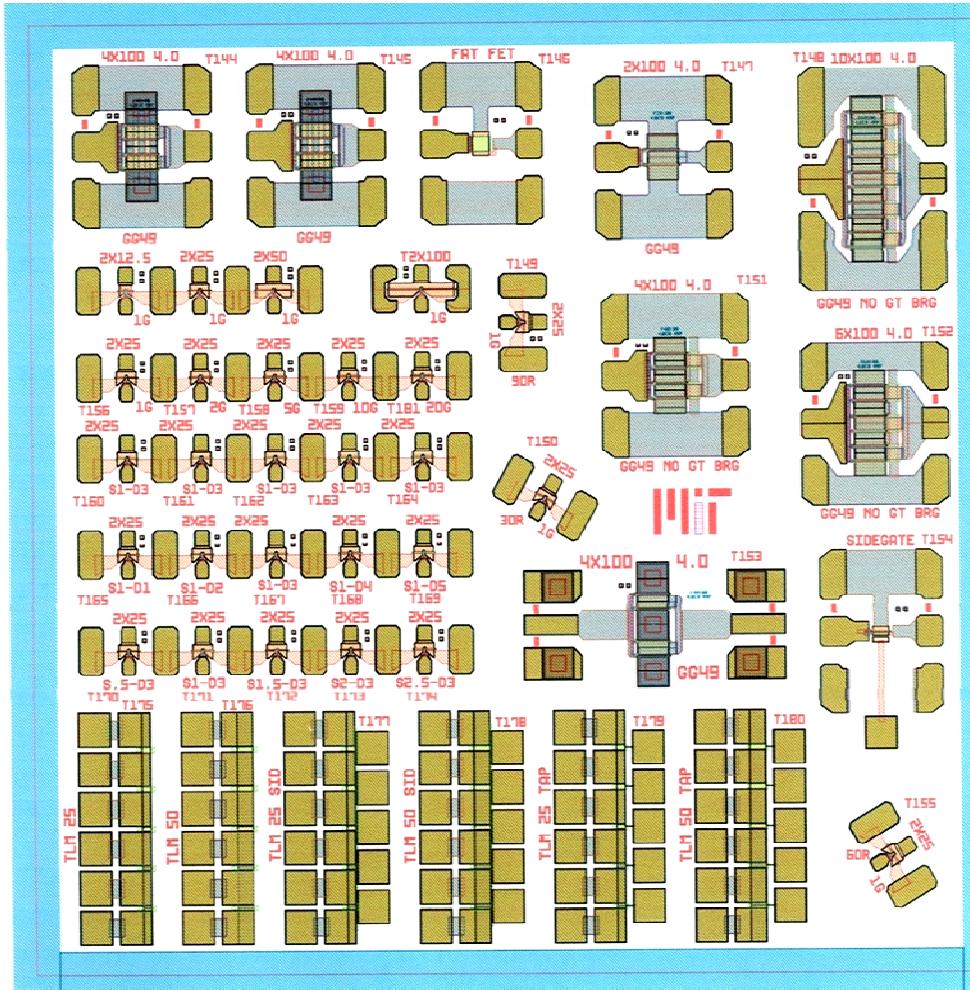
- GaN HEMT: commercial technology since 2005
- Great recent strides in reliability:
  - MTTF= $10^7$  h at 150 C and 40 V demonstrated [Jimenez, IRPS 2008]
- Unique issues about GaN HEMT reliability:
  - No native substrate (use SiC, Si, sapphire) → mismatch defects
  - High-voltage operation → very high electric fields ( $\sim 10^7$  V/cm)
  - Strong piezoelectric materials: high electric field → high mechanical stress
  - Electron channel charge set by polarization, not dopants
- Work to do before demonstrating consistent, reproducible reliability with solid understanding behind:
  - When will we be able to put GaN in space?

# Outline

1. Introduction
2. Experimental
3. Results
4. Hypothesis for high-voltage degradation mechanism:
  - Defect formation through inverse piezoelectric effect
5. Discussion
6. Conclusions

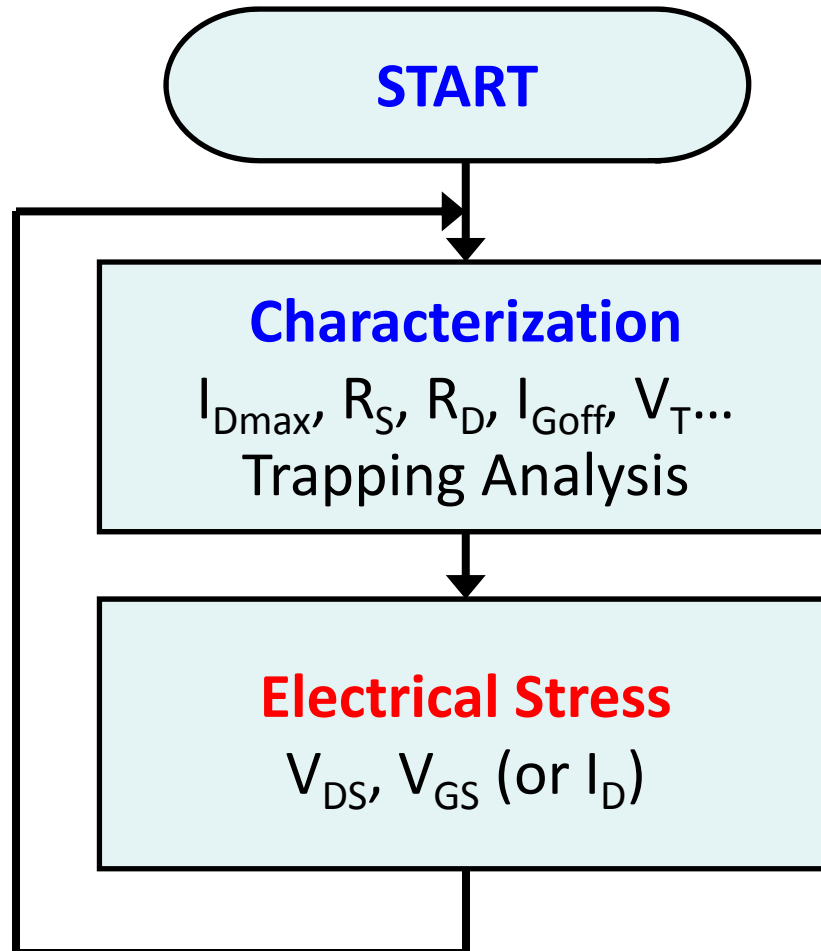
# 2. Experimental

## GaN HEMT Reliability Test Chip



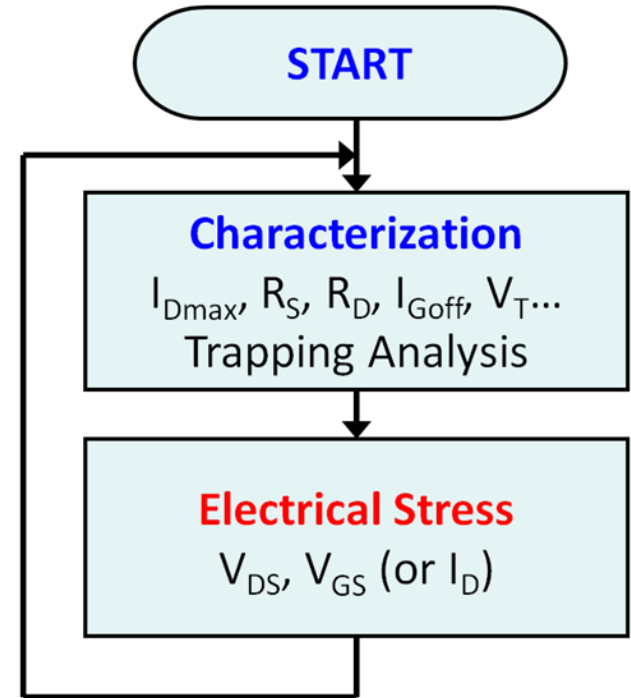
- 3.25 x 3.175 mm<sup>2</sup>
- DC and mmw HEMTs
- HEMTs with different dimensions ( $L_{rd}$ ,  $L_{rs}$ ,  $L_g$ ,  $W_g$ , #fingers)
- HEMTs with different orientations (0, 30°, 60°, 90°)
- TLM's, side-gate FET, FATFET
- Most devices completed before vias
- Implemented by BAE, TriQuint and Nitronex with own design rules

# DC Stress Experiments



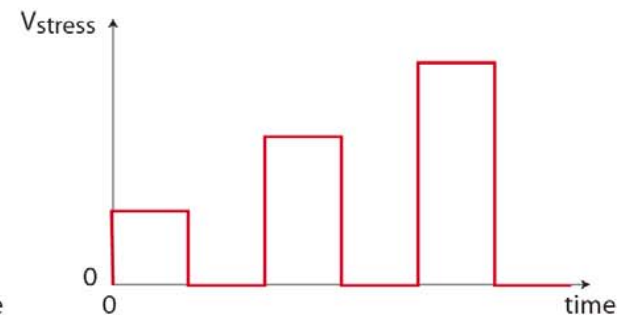
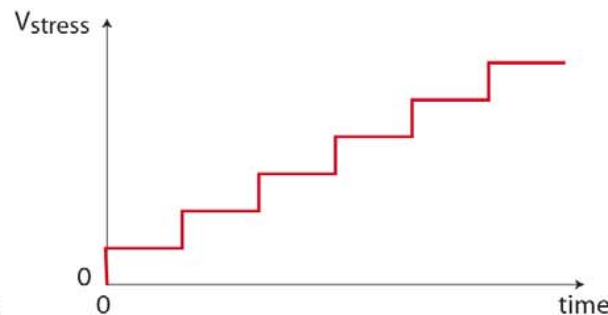
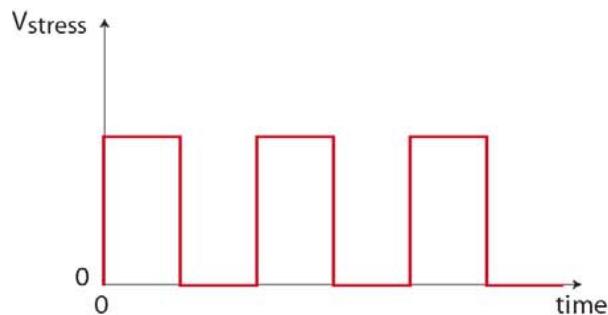
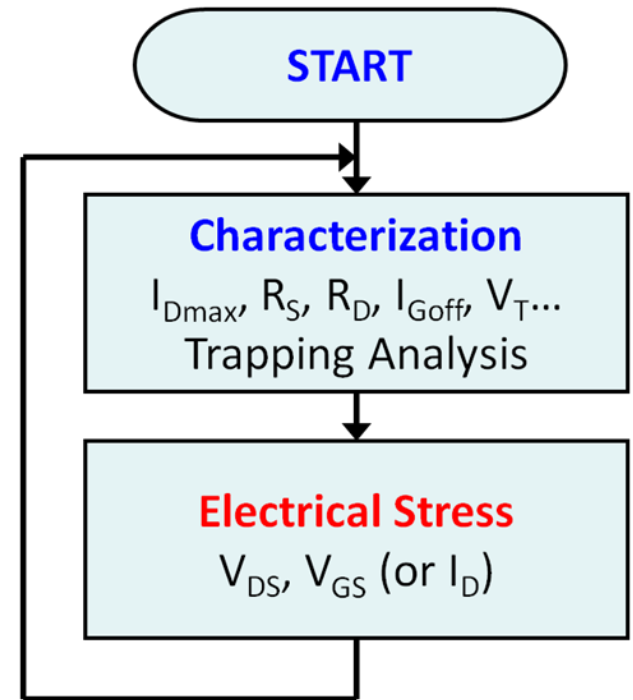
# Characterization Suite

- *Comprehensive*, three sets of measurements:
  - *Coarse characterization*: basic device parameters
  - *Fine characterization*: + complete set of I-V characteristics (output, transfer, gate, subthreshold, kink)
  - *Trap analysis*: transient analysis under various pulsing conditions
- *Fast*.
  - Coarse characterization: <20 secs
  - Fine characterization: <1 min
  - Trap analysis: <10 min
- *Frequent*.
  - Coarse characterization: every 1-2 mins
  - Fine characterization, trap analysis: before, after, at key points
- *“Benign”*:
  - 100 executions to produce change <2% change in any extracted parameter

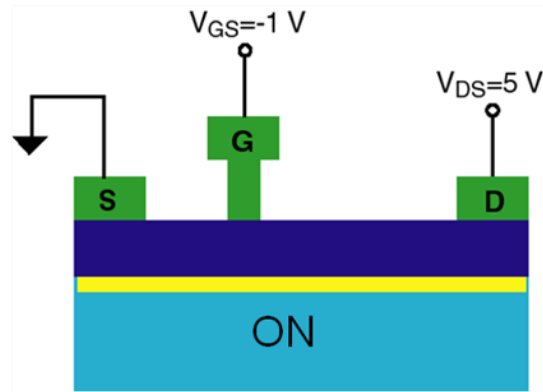


# DC Stress Schemes

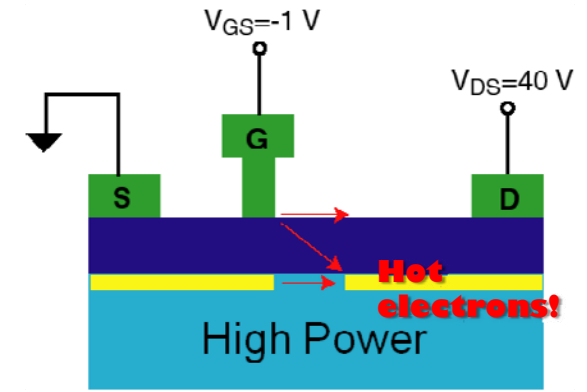
- *Stress-recovery* experiments:
  - to study trapping behavior
- *Step-stress* experiments:
  - to study a variety of conditions in a single device (for improved experimental efficiency)
- *Step-stress-recovery* experiments:
  - to study trap formation under different conditions in a single device



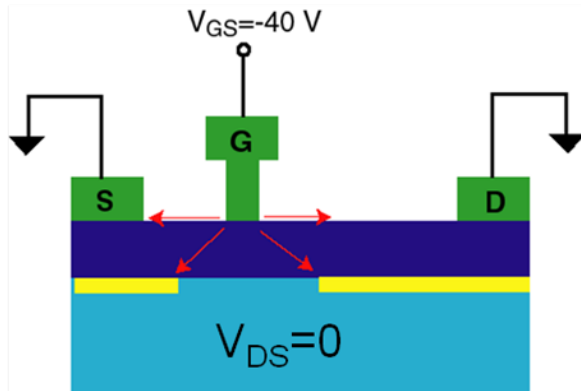
# Electrical Stress Bias Points



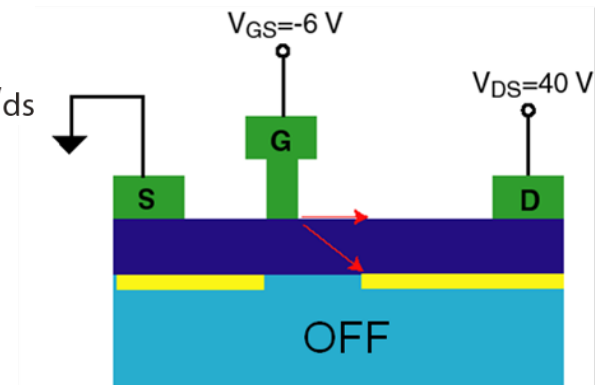
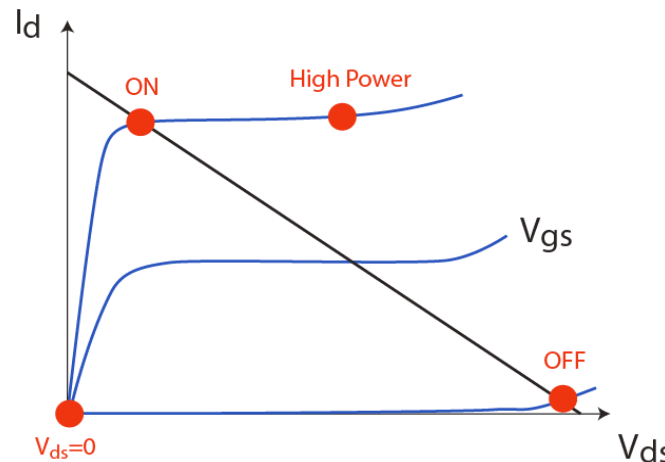
High current, low field



High current, high field



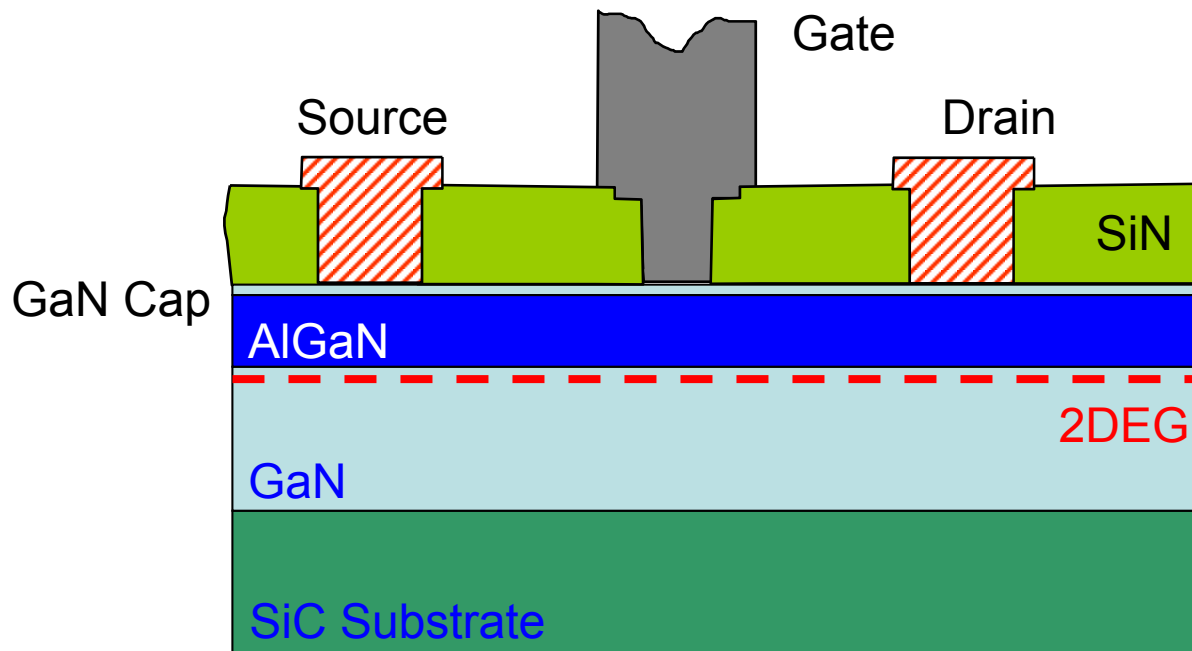
Low current, high field in barrier, low field in buffer



Low current, high field



# Typical GaN HEMT



Typical values:  
 $t = 13-18 \text{ nm}$   
 $x = 25-30\%$

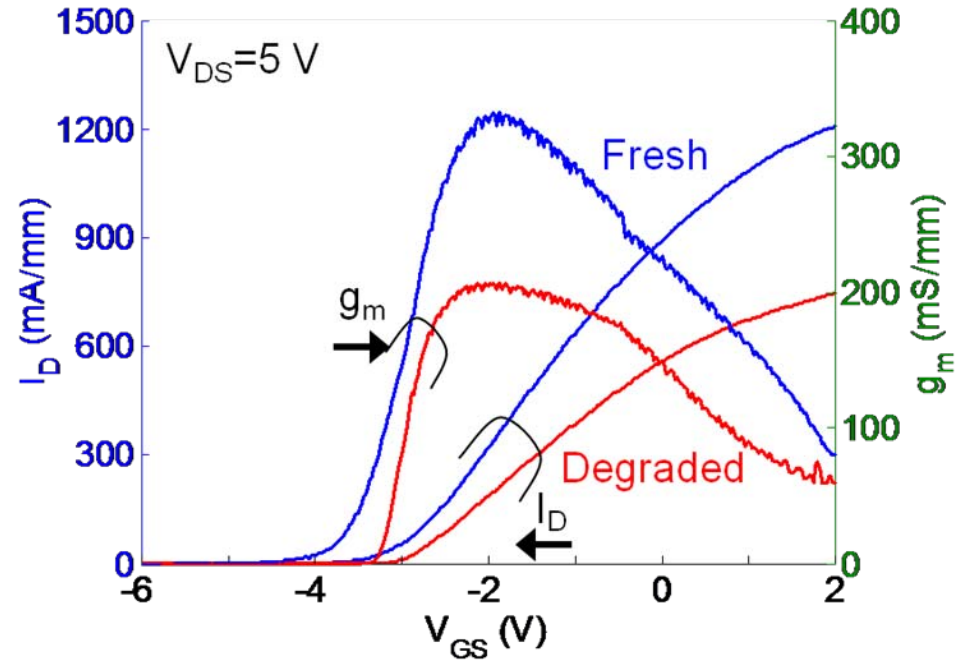
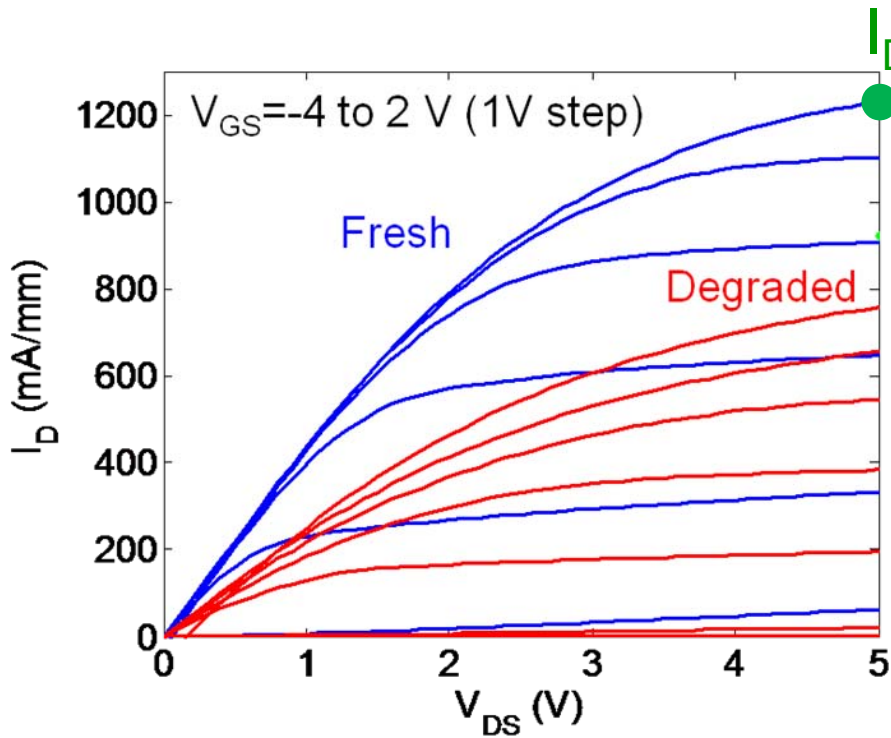
Standard device with integrated field plate :

- $L_G = 0.25 \text{ } \mu\text{m}$ ,  $W = 4 \times 100 \text{ } \mu\text{m}$
- $f_T = 40 \text{ GHz}$ ,  $I_{D\text{max}} = 1.2 \text{ A/mm}$
- $P_{\text{out}} = 8 \text{ W/mm}$ ,  $\text{PAE} = 60\% @ 10 \text{ GHz}$ ,  $V_D = 40 \text{ V}$

Test device:  $W = 2 \times 25 \text{ } \mu\text{m}$

# 3. Results: $V_{DS}=0$ Degradation

$V_{DS}=0$  step-stress;  $V_{DG}$ : 10 to 50 V, 1 V/step, 1 min/step

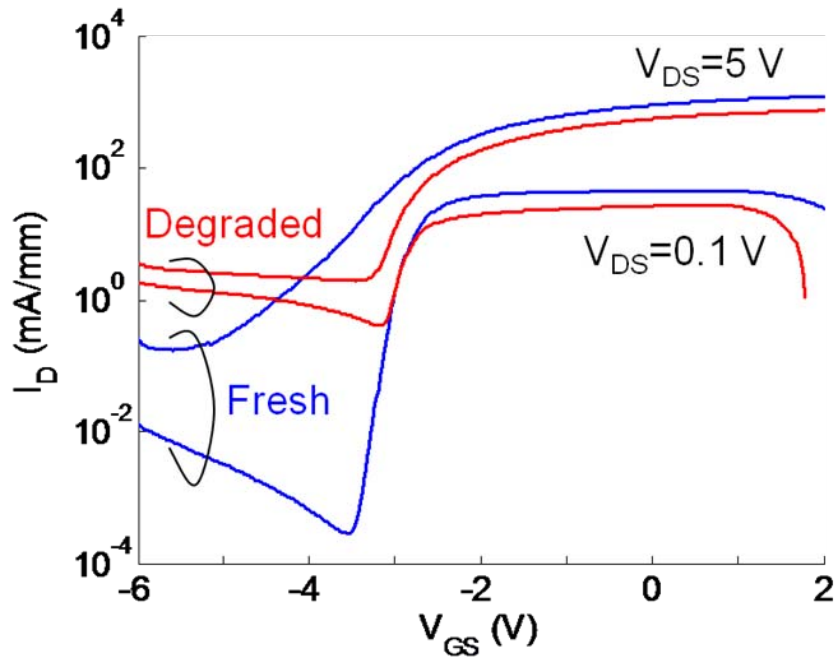


$I_{Dmax} \downarrow$   
 $R_{ON} \uparrow$

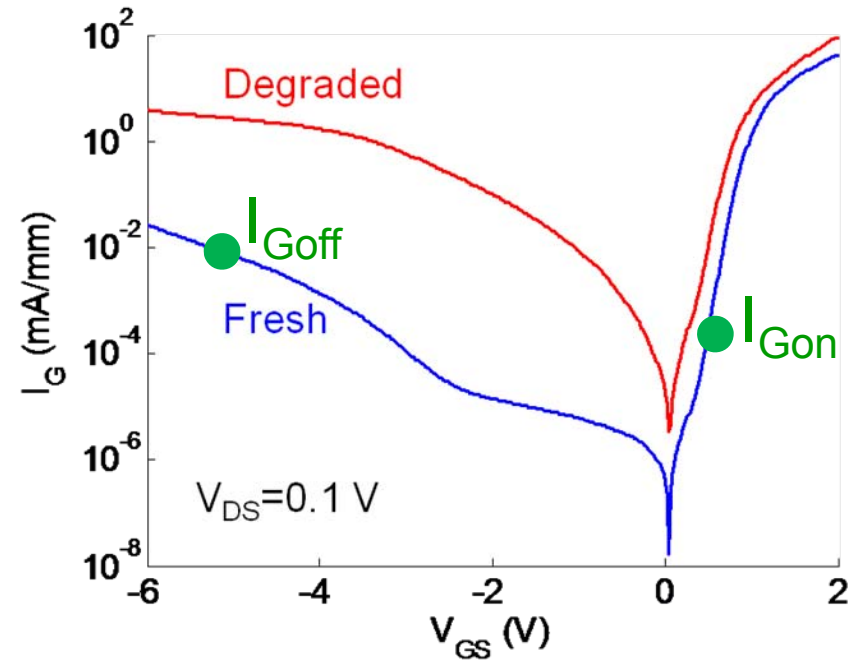
$g_m \downarrow$

# $V_{DS}=0$ Degradation

$V_{DS}=0$  step-stress;  $V_{DG}$ : 10 to 50 V, 1 V/step, 1 min/step

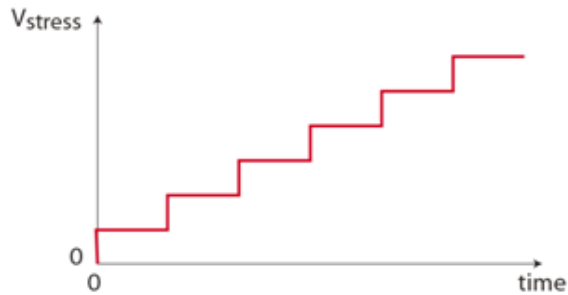


$I_{Doff} \uparrow$

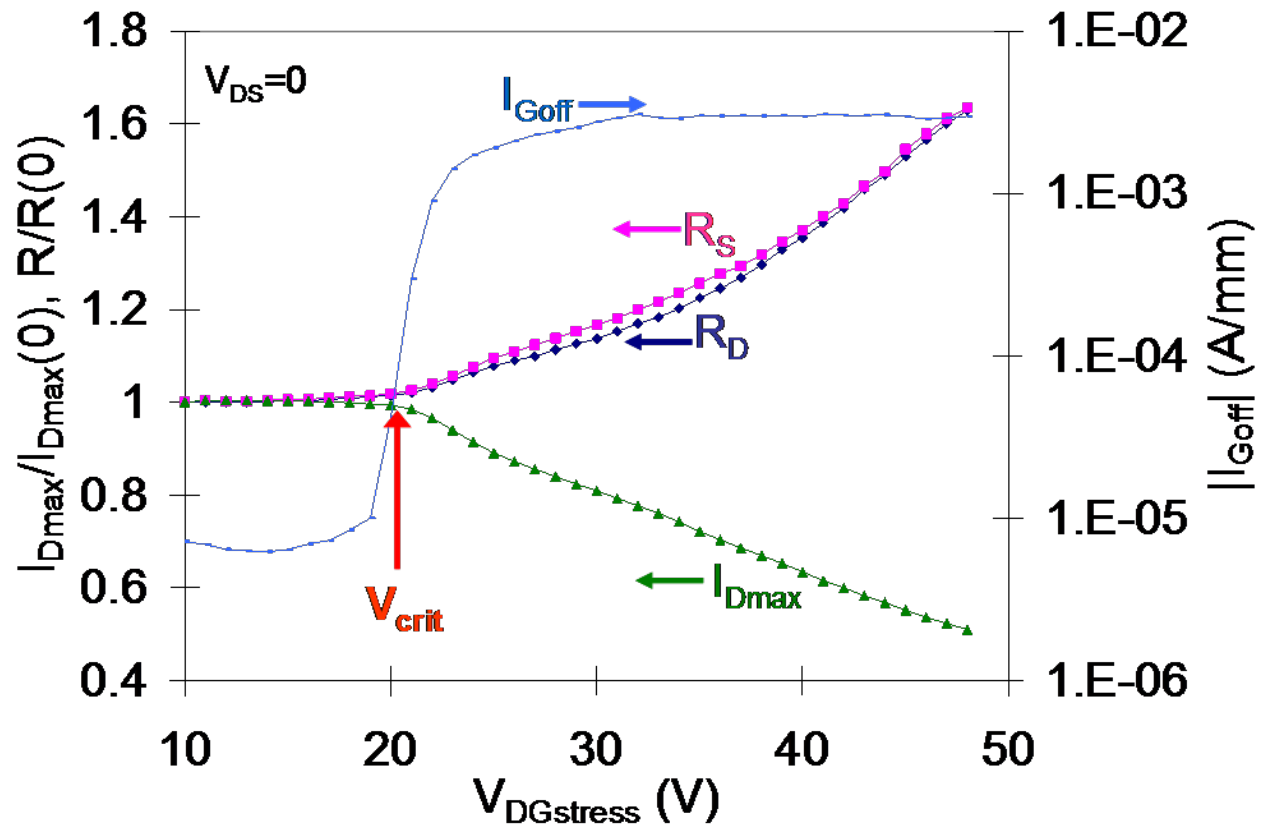


$I_{Goff} \uparrow \uparrow$   
 $I_{Gon} \uparrow$

# $V_{DS}=0$ Degradation



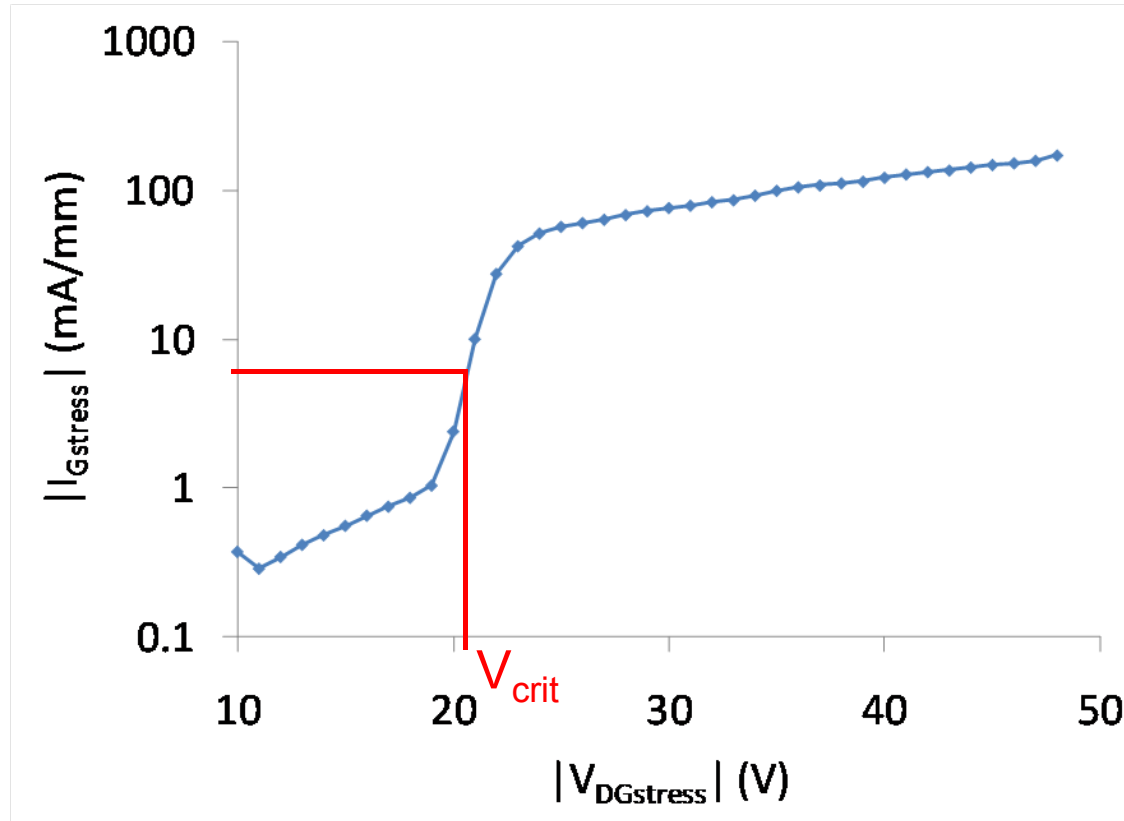
Joh, EDL 2008



Critical voltage for degradation:

At  $V_{crit} \approx 21$  V,  $I_{Goff}$  increases  $\sim 100X$ ,  $I_{Dmax}$ ,  $R_S$ ,  $R_D$  start degrading

# $V_{DS}=0$ Degradation

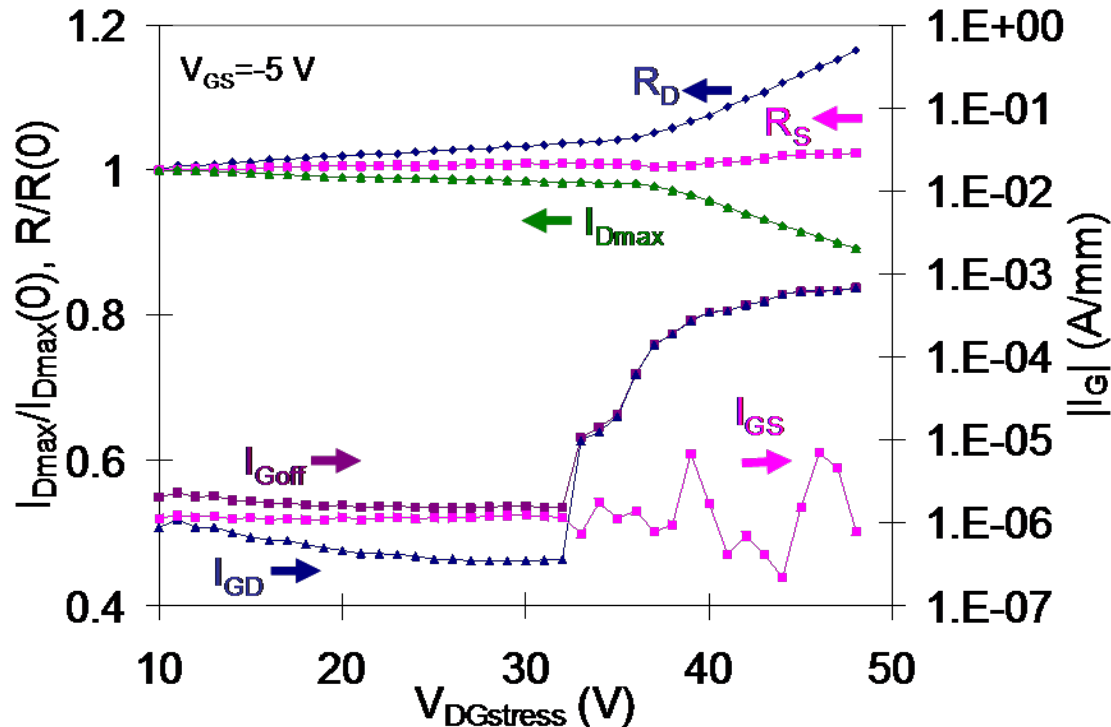


At  $V_{crit} \approx 21$  V,  $|I_{gstress}| < 10$  mA/mm

→ self-heating, hot electrons not responsible for  $V_{crit}$  degradation

# OFF-state Degradation

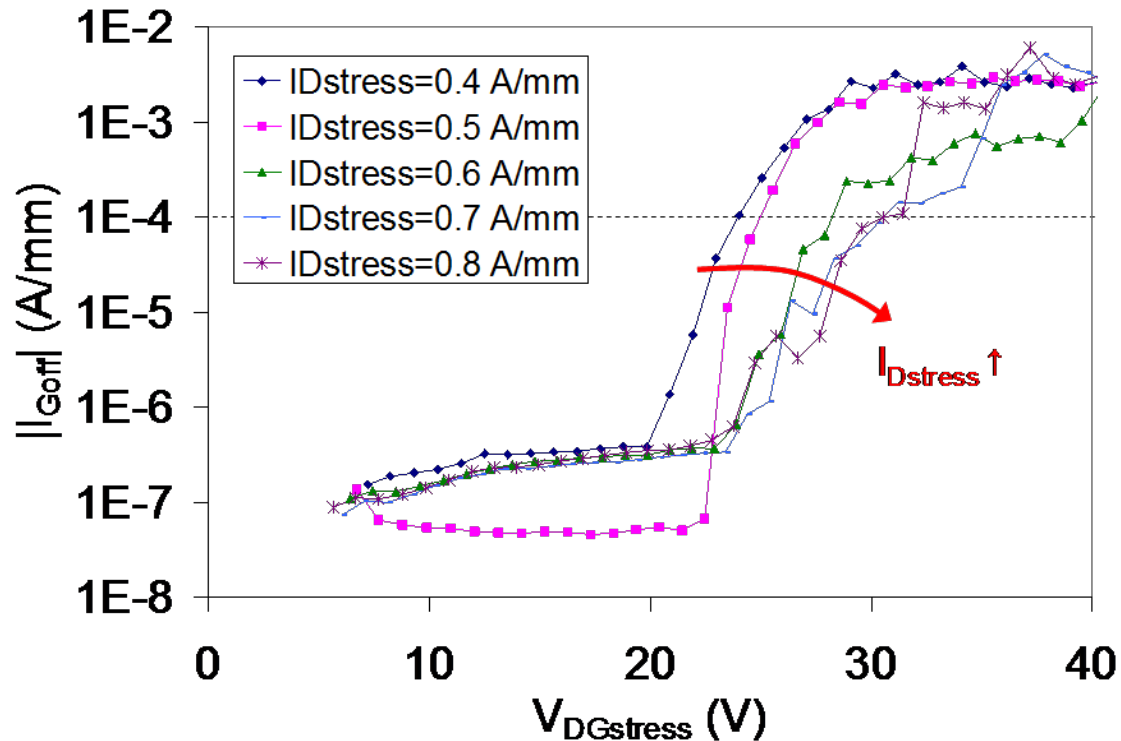
OFF-state step-stress:  $V_{GS} = -5$  V;  $V_{DS}$ : 5 to 45 V, 1 V/step, 1 min/step;



- Critical behavior, but  $V_{crit} \approx 34$  V  $\rightarrow V_{crit}$  depends on detailed bias
  - $R_S$  does not degrade
  - $I_{GDoff} \uparrow$ ,  $I_{GSoff}$  unchanged
- ] Drain side degrades, source side intact

# High-Power Degradation

High-power step-stress (fixed  $I_{Dstress}$ );  $V_{DS}$ : 5 to 40 V, 1 V/step, 1 min/step



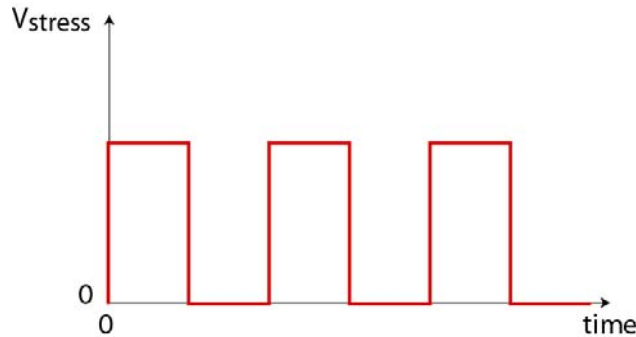
Critical behavior, but  $I_{Dstress} \uparrow \rightarrow V_{crit} \uparrow$

→ Current is not accelerating factor

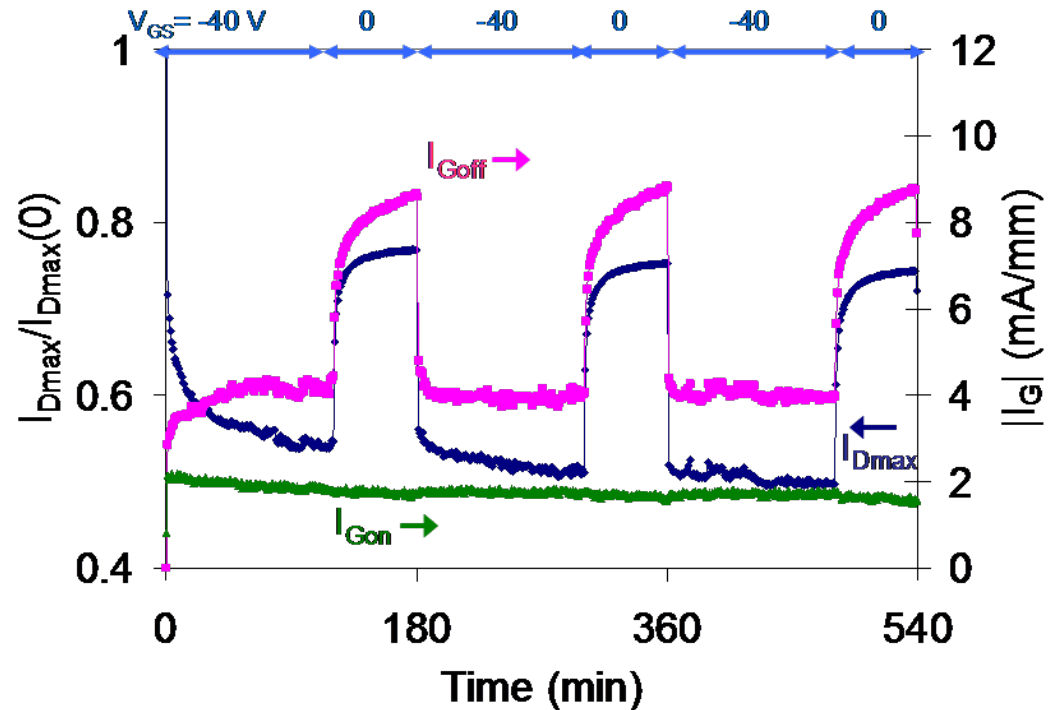
Joh, IEDM 2007

# Trapping in stressed devices

$V_{DS}=0$  stress-recovery experiment;  $V_{GS}=-40$  V (beyond  $V_{crit}$ )



Joh, IEDM 2007

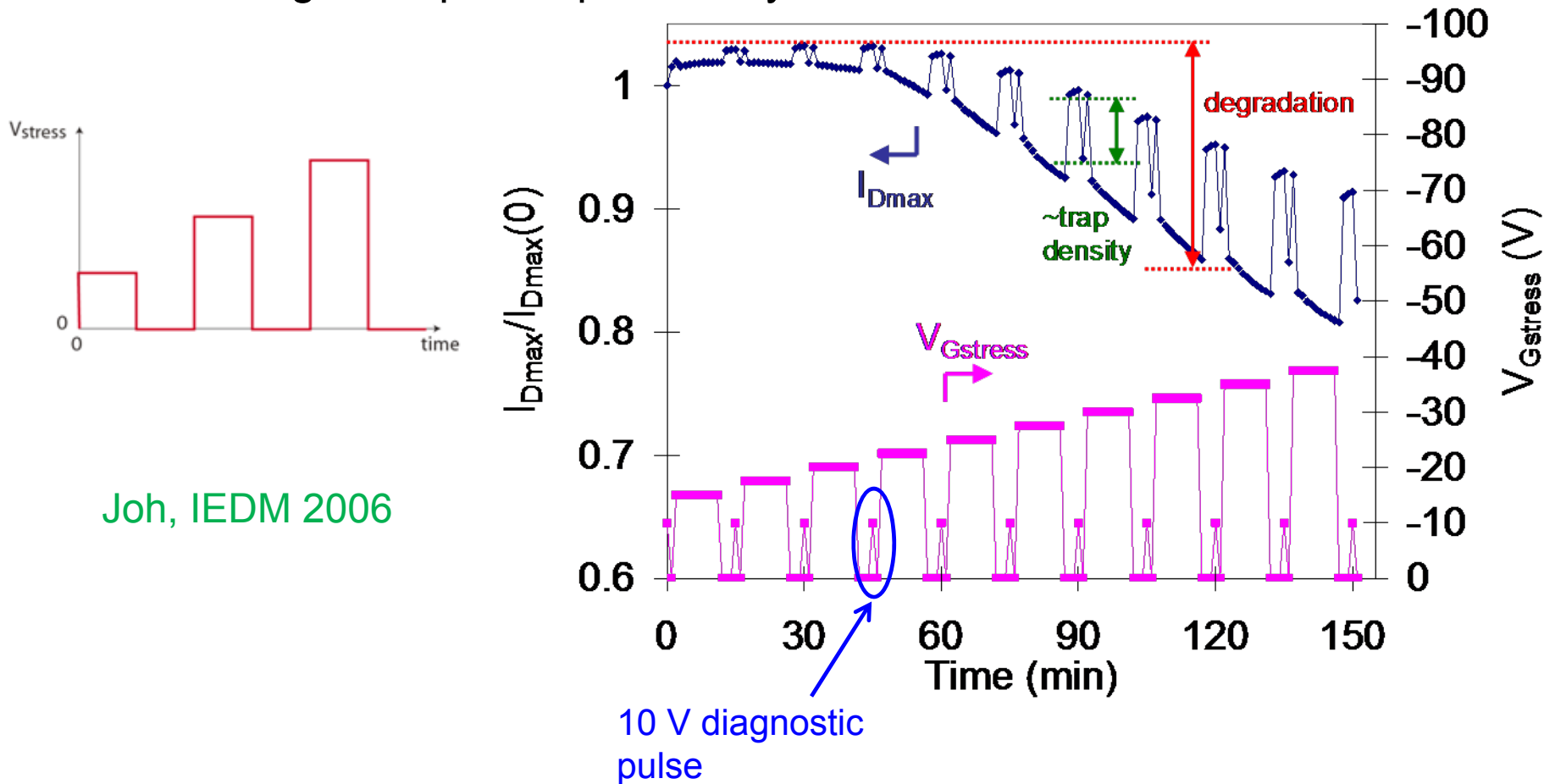


- $I_G$  follows same trapping behavior as  $I_D$   
 → common physical origin for  $I_G$  and  $I_D$  degradation
- In recovery phase:  $I_{Dmax} \uparrow$ ,  $I_{Goff} \uparrow$  → trapped electrons block  $I_G$
- $I_{Gon}$  steady → traps not accessible from channel?

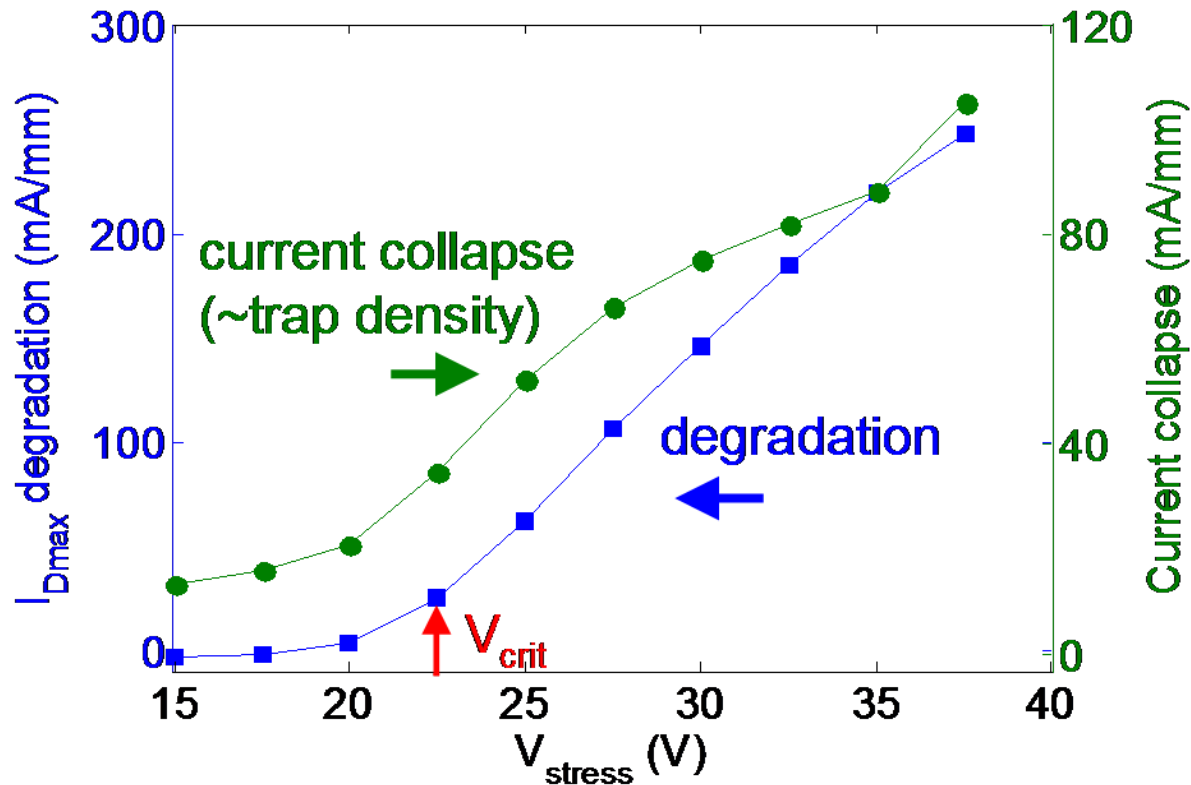


# Are traps also generated at $V_{crit}$ ?

- $V_{DS}=0$  step-stress-recovery experiment with *diagnostic pulse*
  - 10 min step, 5 min recovery, 2.5 V/step
- Under light to speed up recovery



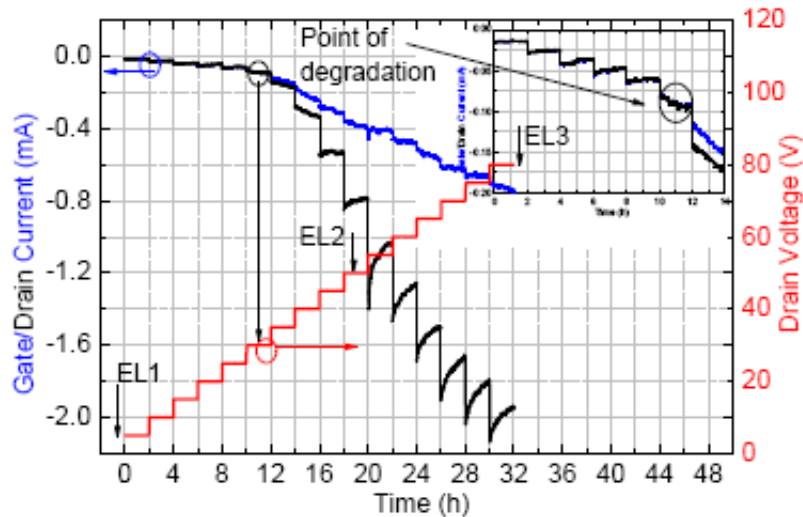
# Trap density vs. damage in GaN HEMT



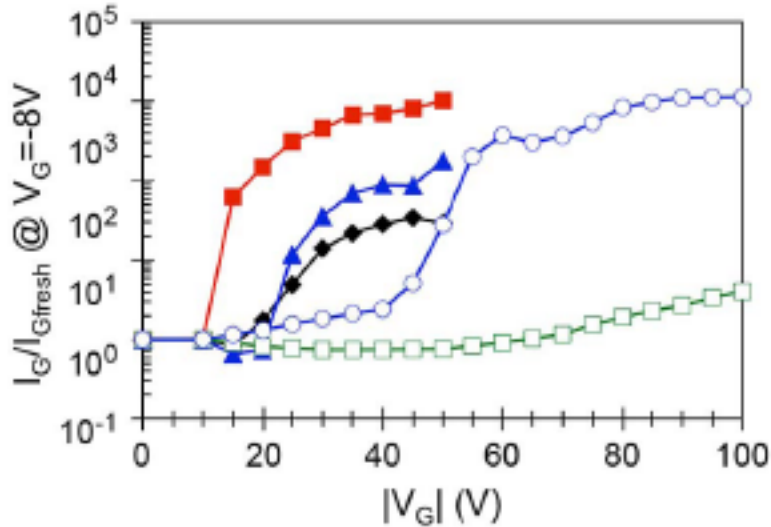
Joh, IEDM 2006

$V_{\text{crit}}$ : onset of  $I_G$ ,  $I_D$ ,  $R_S$ ,  $R_D$  degradation *and* trap formation

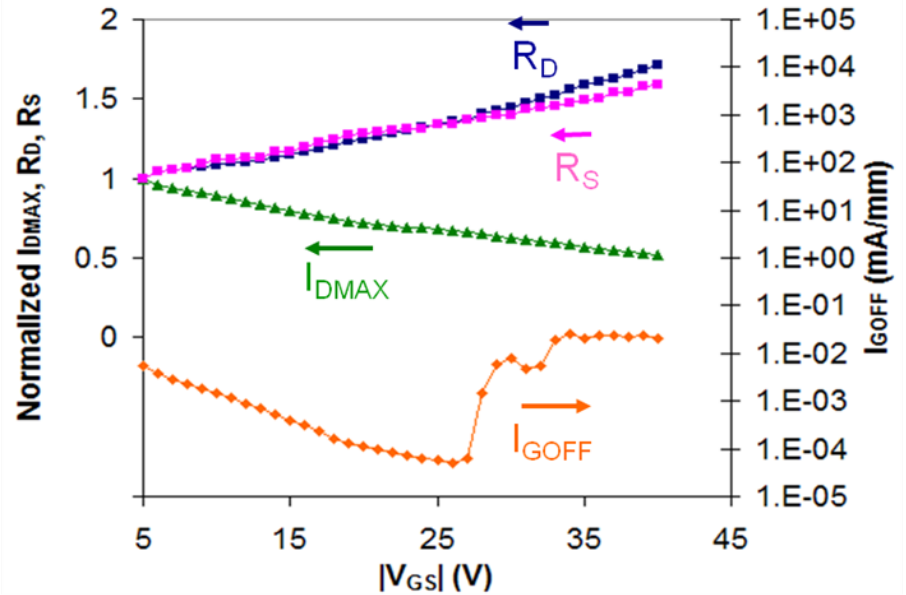
# Other Reports of Critical Voltage Behavior



$V_{crit} = 30-60$  V; Ivo, IRPS 2009



$V_{crit} = 10-80$  V; Zanoni, EDL 2009

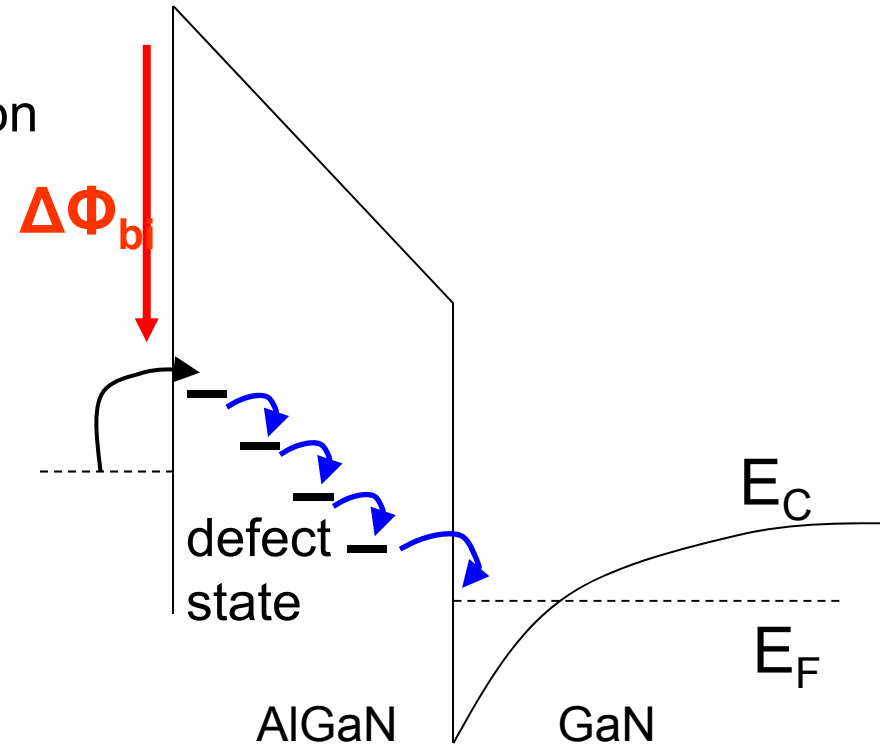
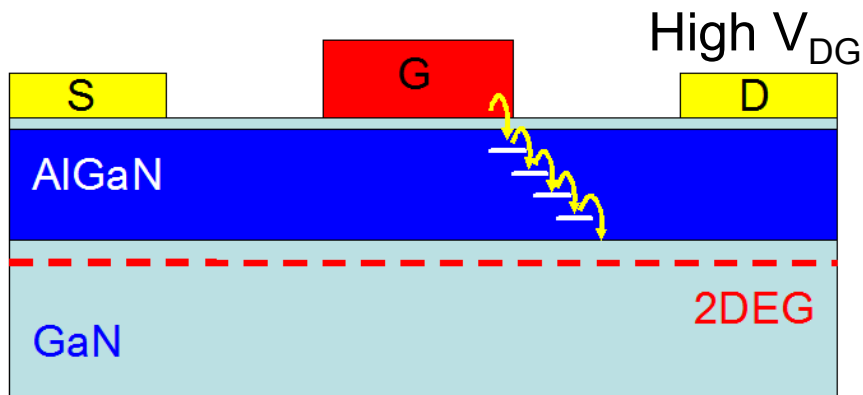


GaN HEMT on Si,  $V_{crit} = 10-75$  V  
Demirtas, ROCS 2009

# 4. Hypothesis for high-voltage degradation mechanism

## 1. Defects in AlGaN

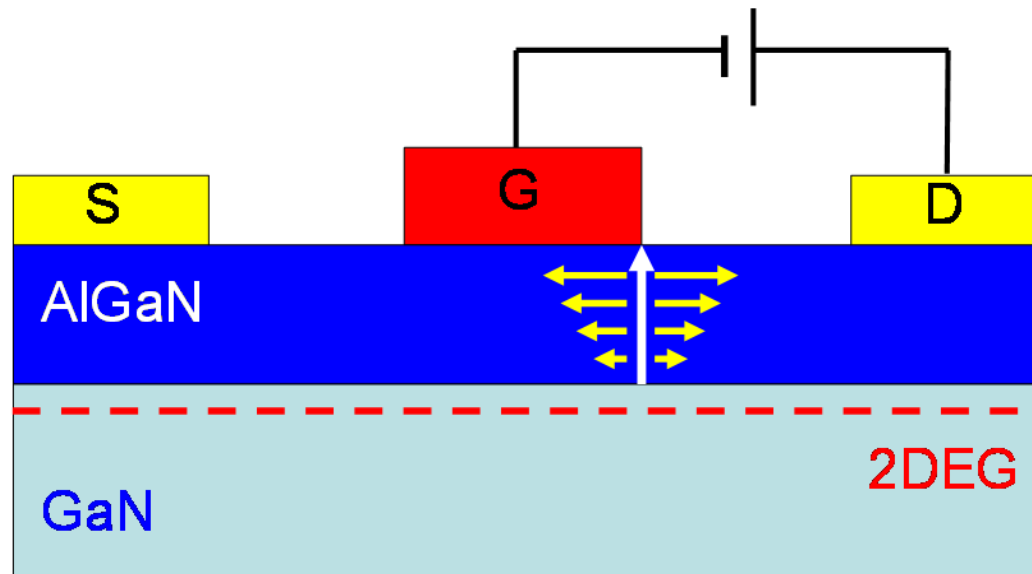
- provide path for reverse  $I_G$  ( $I_{Goff} \uparrow$ )
- electron trapping  $\rightarrow n_s \downarrow \rightarrow I_{Dmax} \downarrow, R_D \uparrow$
- transient effects
- additional non-transient degradation



# Hypothesis for high-voltage degradation mechanism

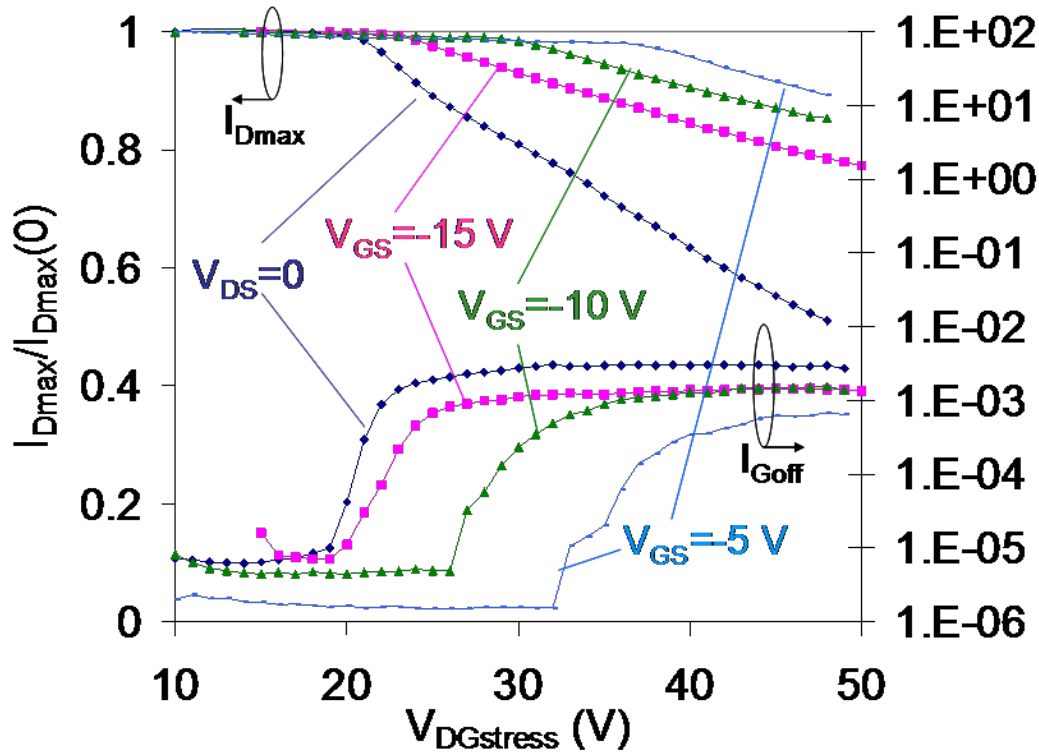
## 2. Defects originate from excessive mechanical stress

- introduced by high electric field through inverse piezoelectric effect
- concentrated at gate edge
- builds on top of lattice mismatch stress between AlGaN and GaN
- when elastic energy density in AlGaN exceeds critical value



# Role of $V_{GS}$

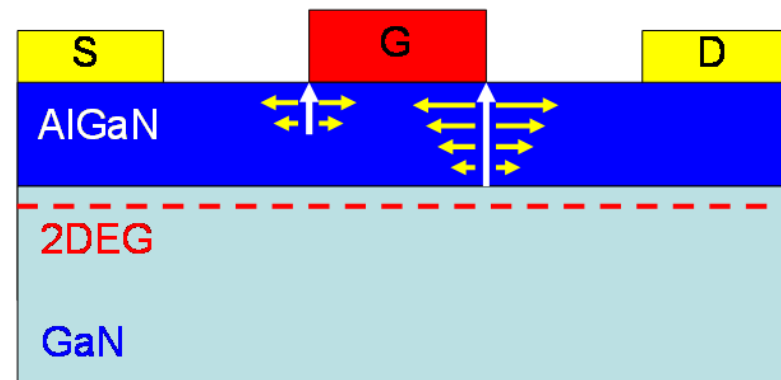
OFF-state step-stress experiments at different  $V_{GS}$ :



Joh, IEDM 2007

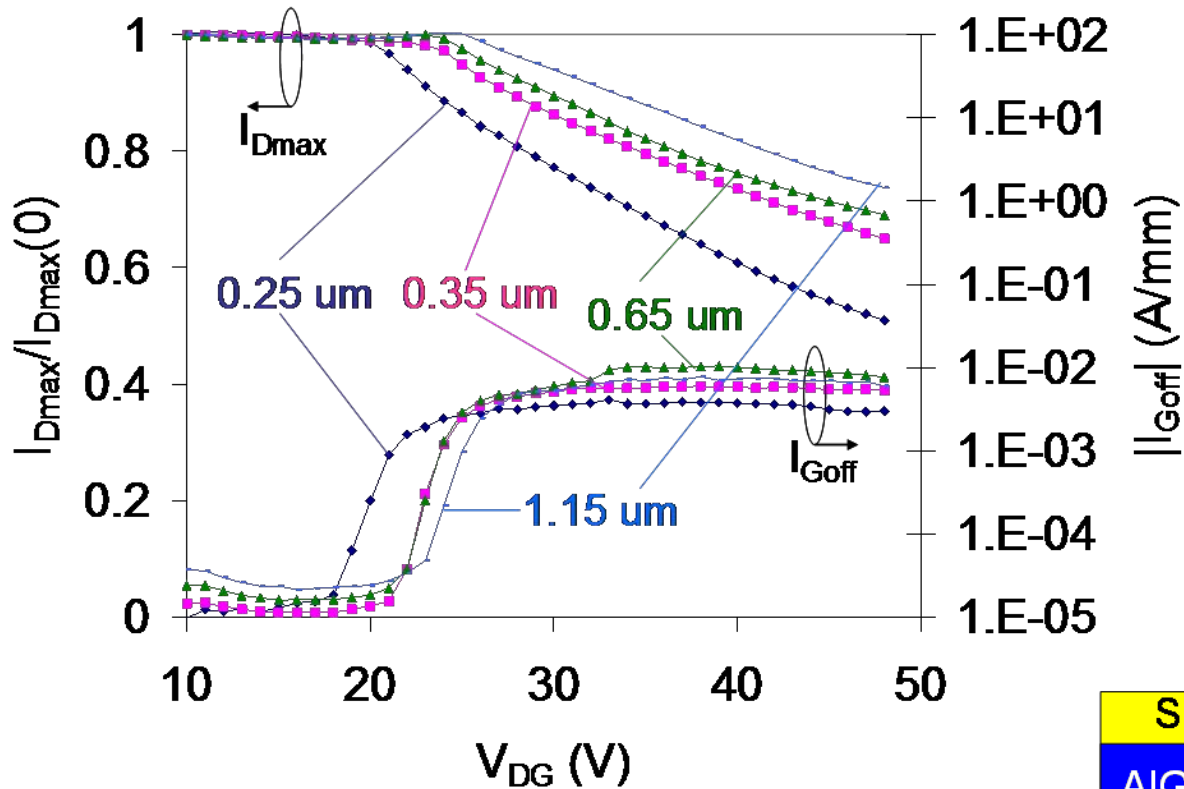
High-field on source side adds to stress on drain side

$|V_{GS}| \downarrow \rightarrow V_{crit} \uparrow$



# Role of Gate Length

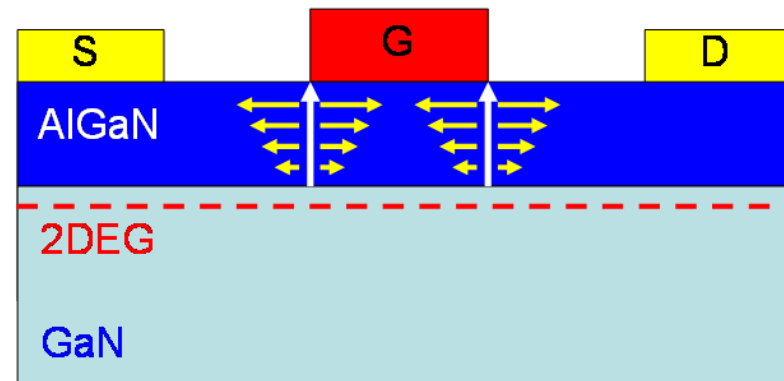
$V_{DS}=0$  step-stress experiments for different  $L_G$



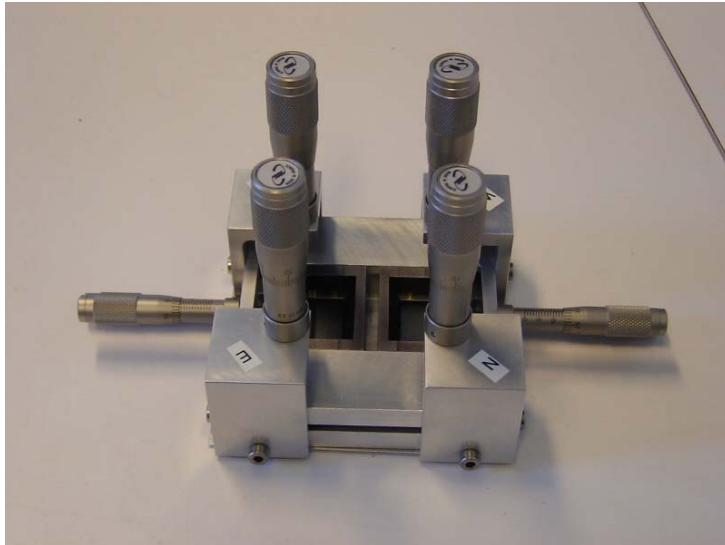
Joh, IEDM 2007

$L_g \uparrow \rightarrow$  less cumulative stress at edges

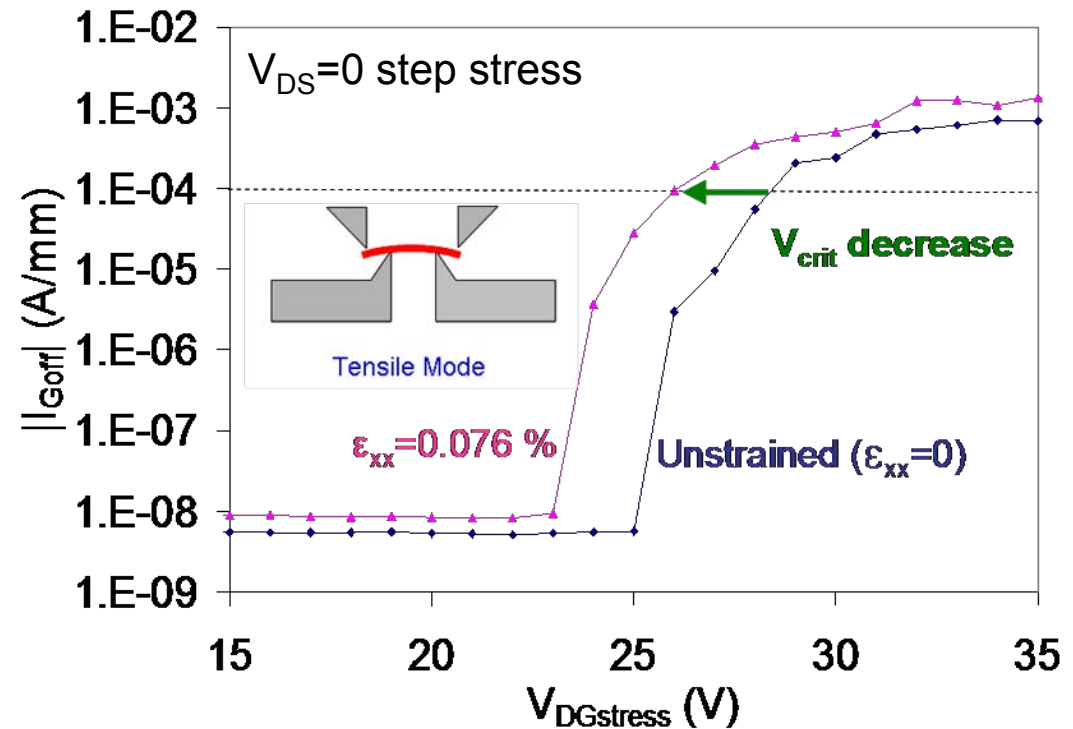
$L_G \uparrow \rightarrow V_{crit} \uparrow$



# Role of Mechanical Strain



Joh, IEDM 2007



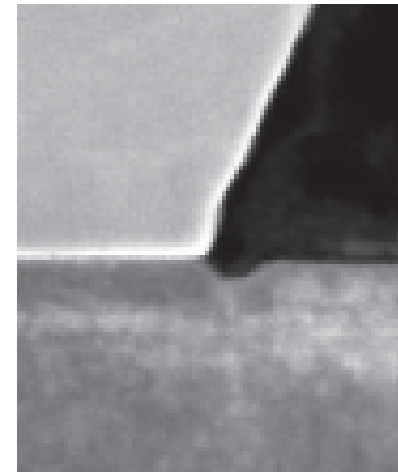
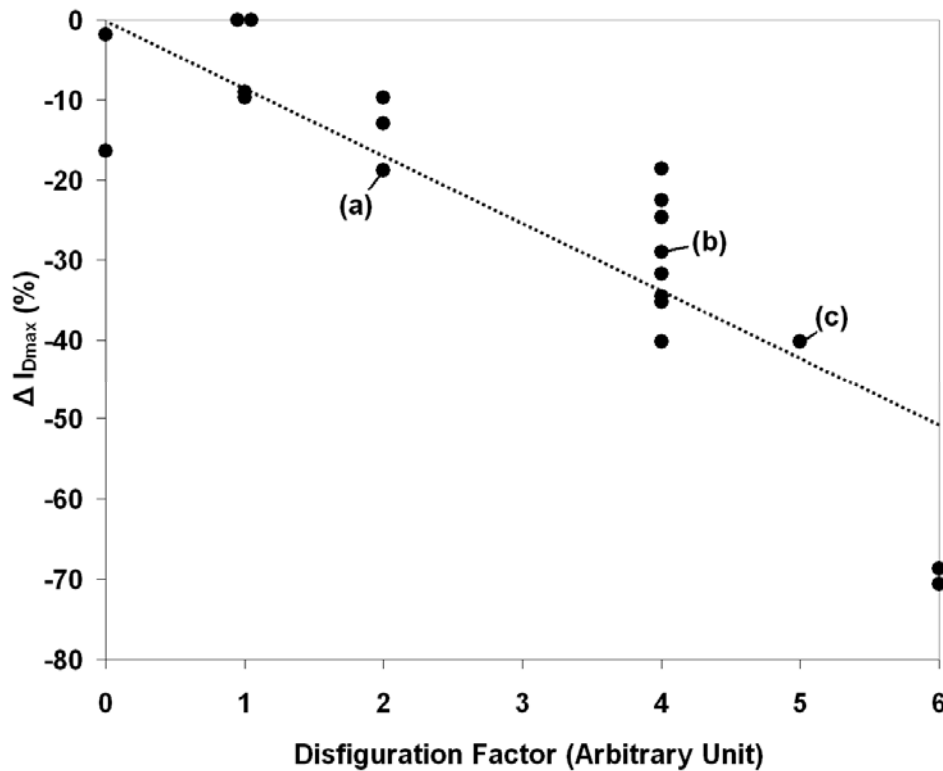
External tensile strain  $\uparrow \Rightarrow V_{\text{crit}} \downarrow$

$\rightarrow$  reveals mechanical origin of degradation

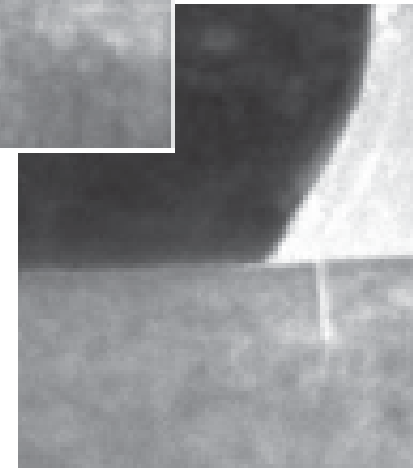


# Crack and pits in stressed GaN HEMTs

ON-state degradation at 40 V,  
 $I_D=250$  mA/mm,  $T_a=112$  C



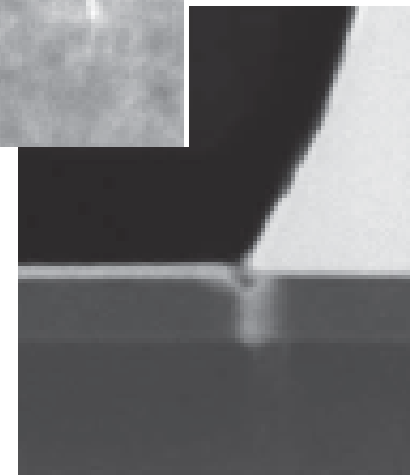
(a)



(b)

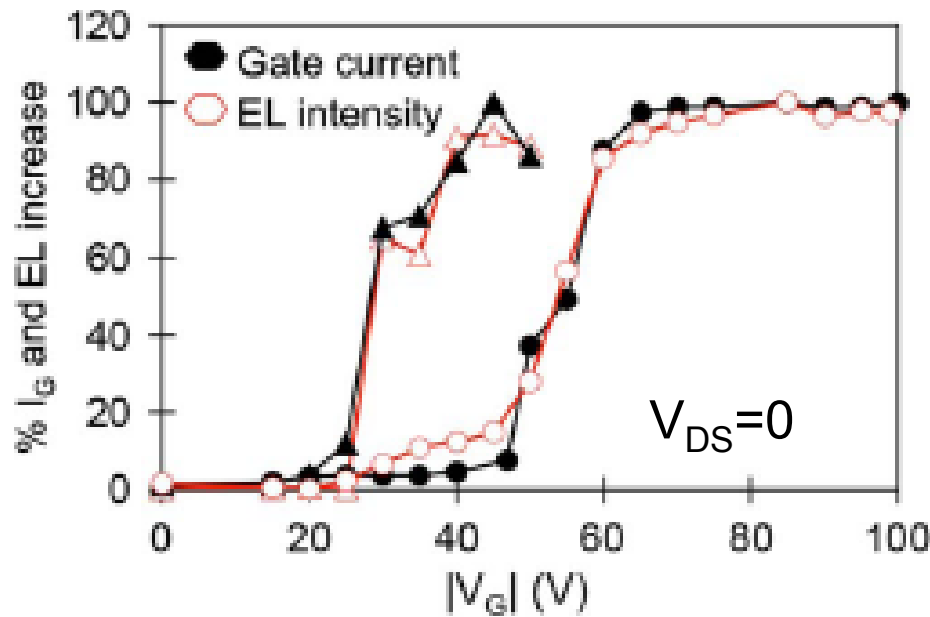
Chowdhury,  
EDL 2008

(c)

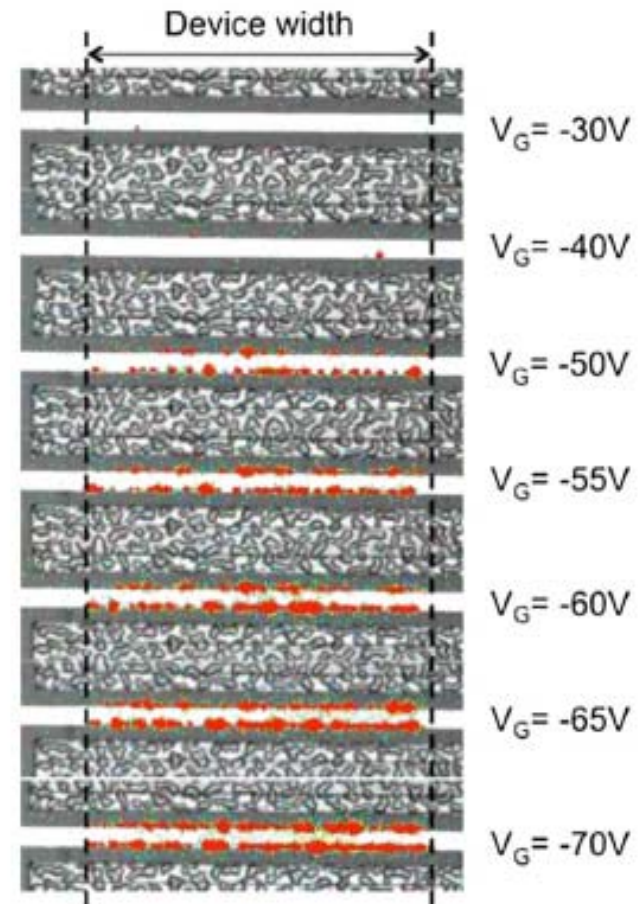


Physical degradation correlates with electrical degradation

# Other observations of damage at edges of gate



Zanoni, EDL 2009

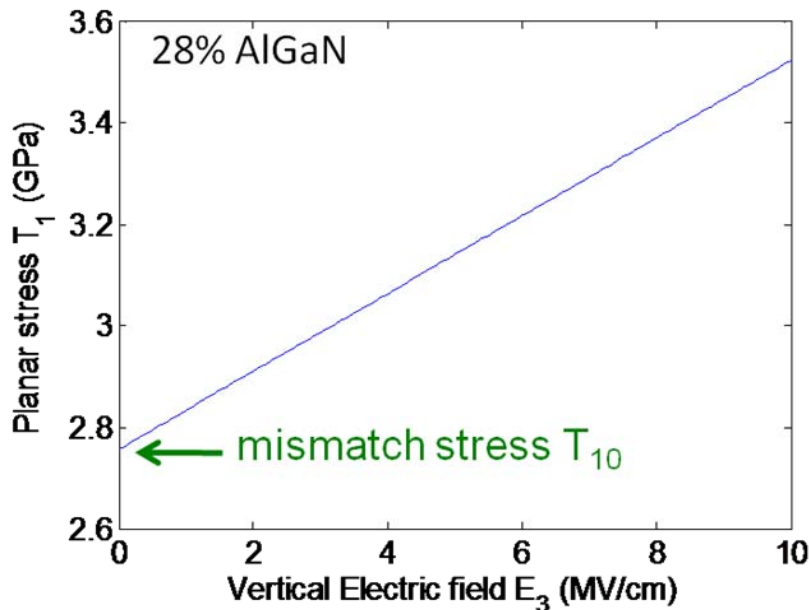


Gate current degradation correlates with electroluminescence from gate edges

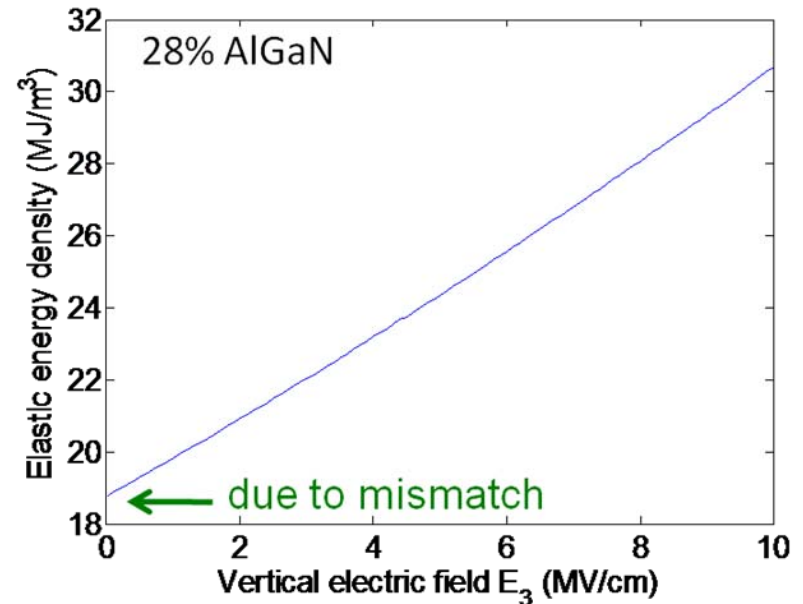
# 5. First-order model for $V_{crit}$

- Key assumption: at  $V_{crit}$ , elastic energy density in AlGaN reaches critical value
  - Electrical model: 2D electrostatic simulator (Silvaco Atlas)
  - Mechanical model: analytical formulation of stress and elastic energy vs. electric field

Joh, ROCS 2009



Planar stress linear on vertical electric field

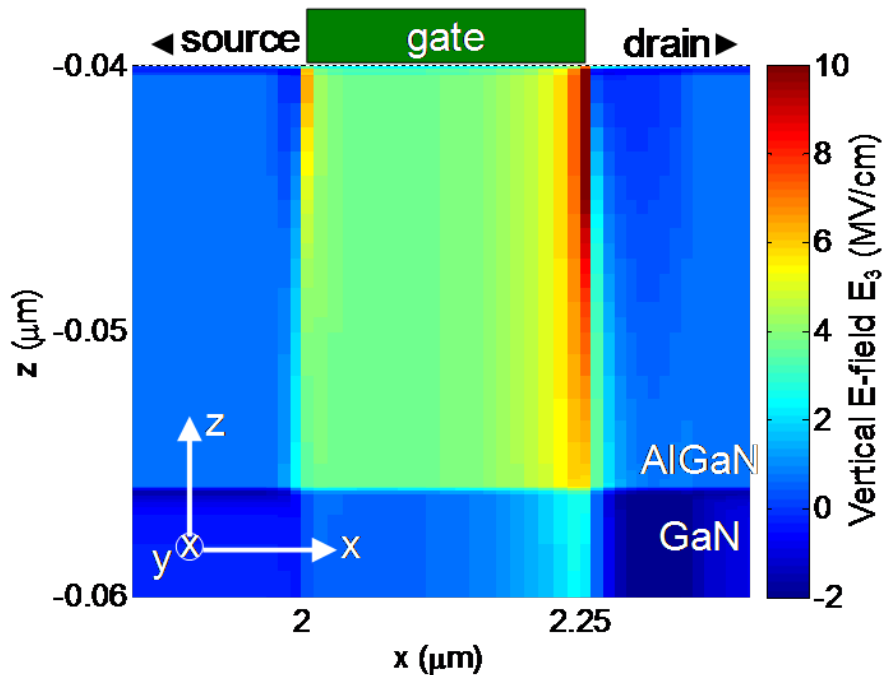


Elastic energy density superlinear on vertical electric field

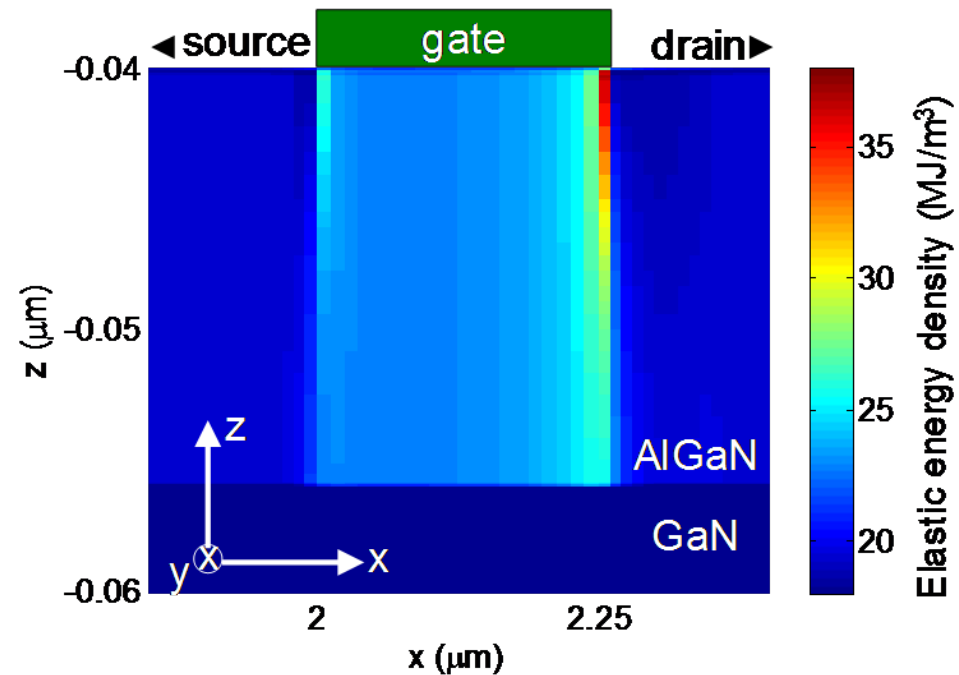
# First-order model for $V_{\text{crit}}$

- Example: 16 nm thick AlGaIn with  $x=28\%$
- $V_{\text{crit}}$  condition in OFF-state ( $V_{\text{GS}}=-5$  V,  $V_{\text{DS}}=33$  V)

Vertical electric field



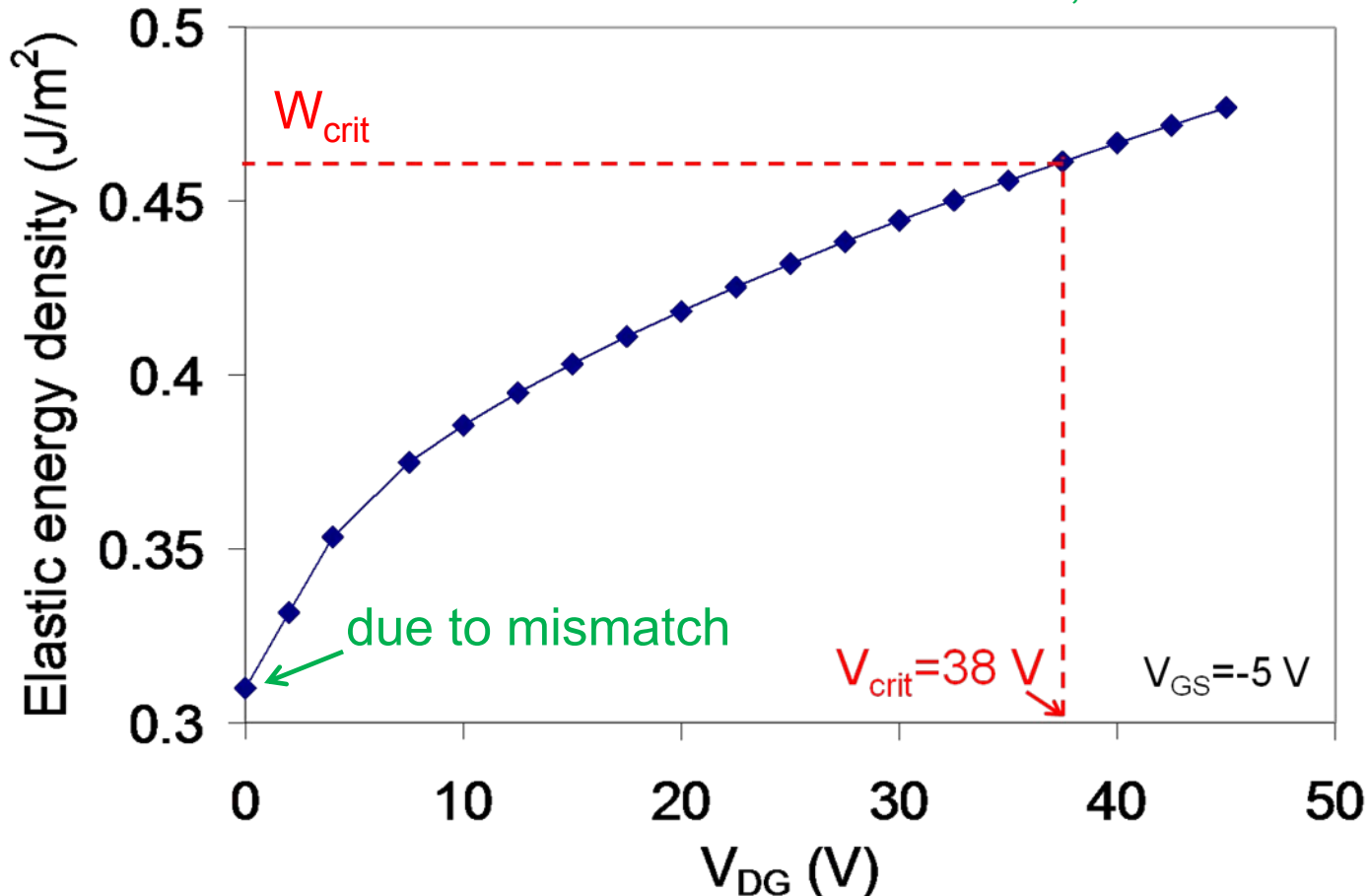
Elastic energy density



Large peak of electric field and elastic energy density under gate edge on drain side

# Elastic energy density in AlGaN vs. $V_{DG}$

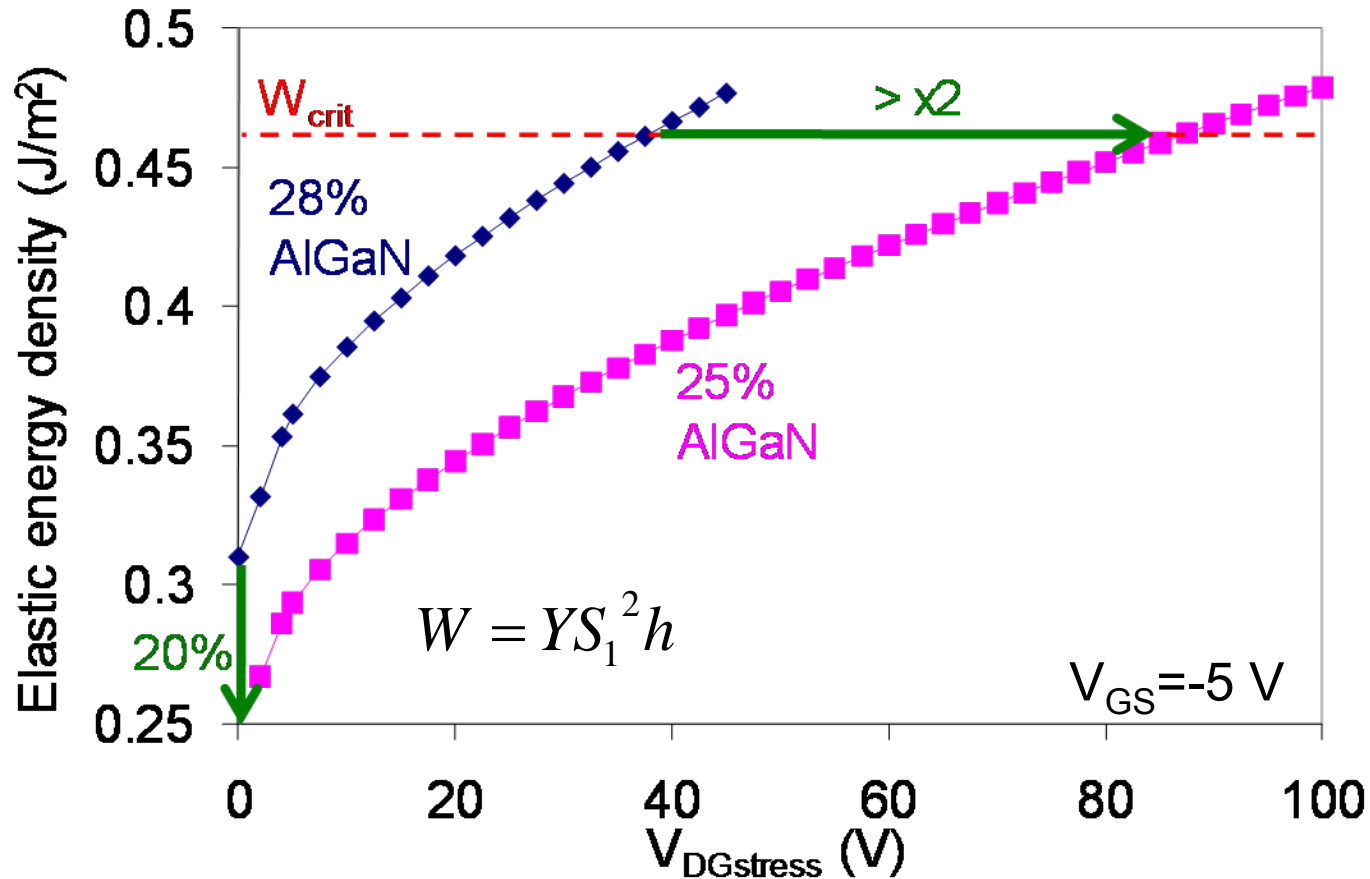
Joh, ROCS 2009



$W_{crit}$  corresponding to  $V_{crit}$  consistent with value for onset of relaxation of AlGaN/GaN heterostructures

# Impact of AlGaN composition on $V_{crit}$

Joh, ROCS 2009

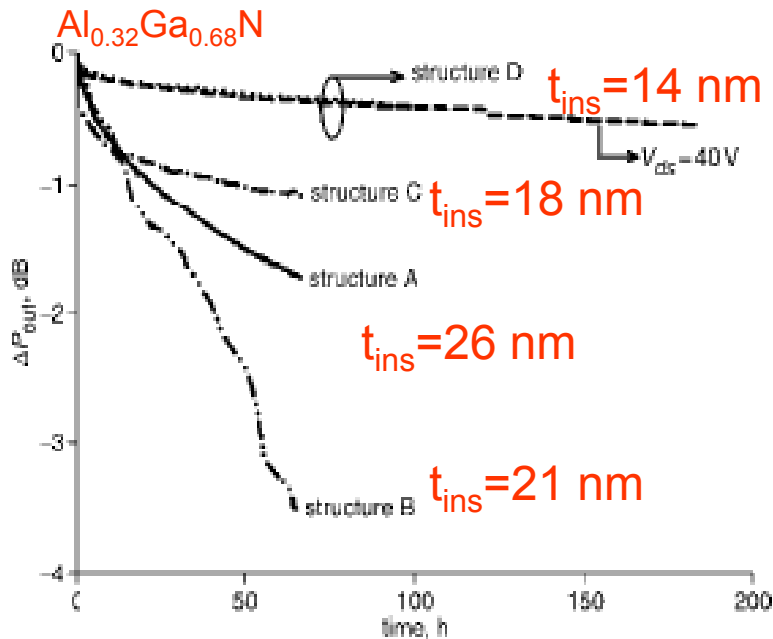


$x(\text{AlN}) \downarrow \rightarrow$  initial elastic energy  $\downarrow \rightarrow V_{crit} \uparrow \uparrow$

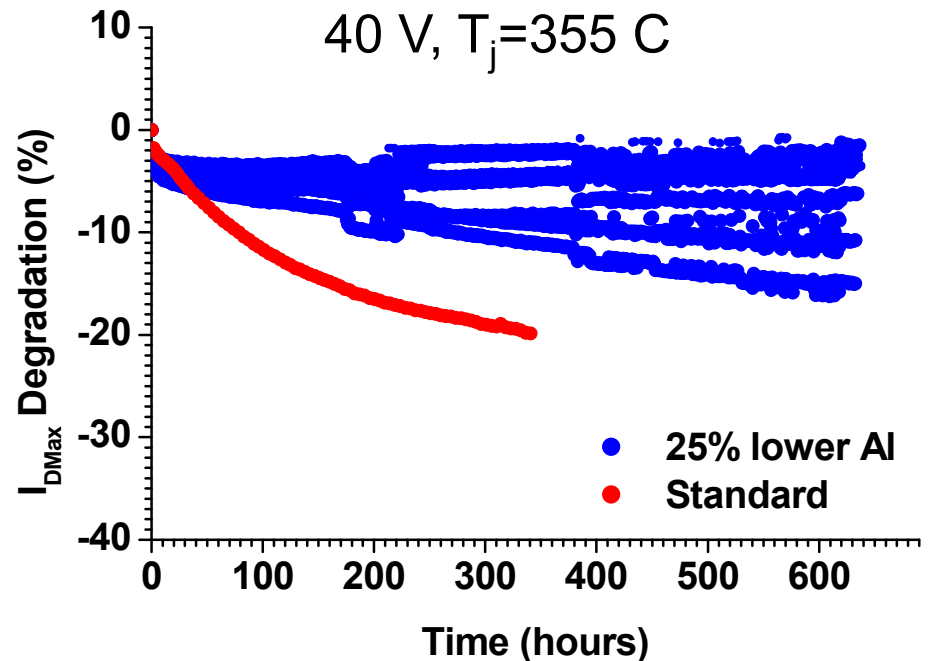
# Consequences: HEMT reliability improved if...

## 1. Elastic energy density in AlGaIn barrier is minimized:

- Thinner AlGaIn barrier [Lee 2005]
- AlGaIn with lower AlN composition [Gotthold 2004, Valizadeh 2005, Jimenez 2009]



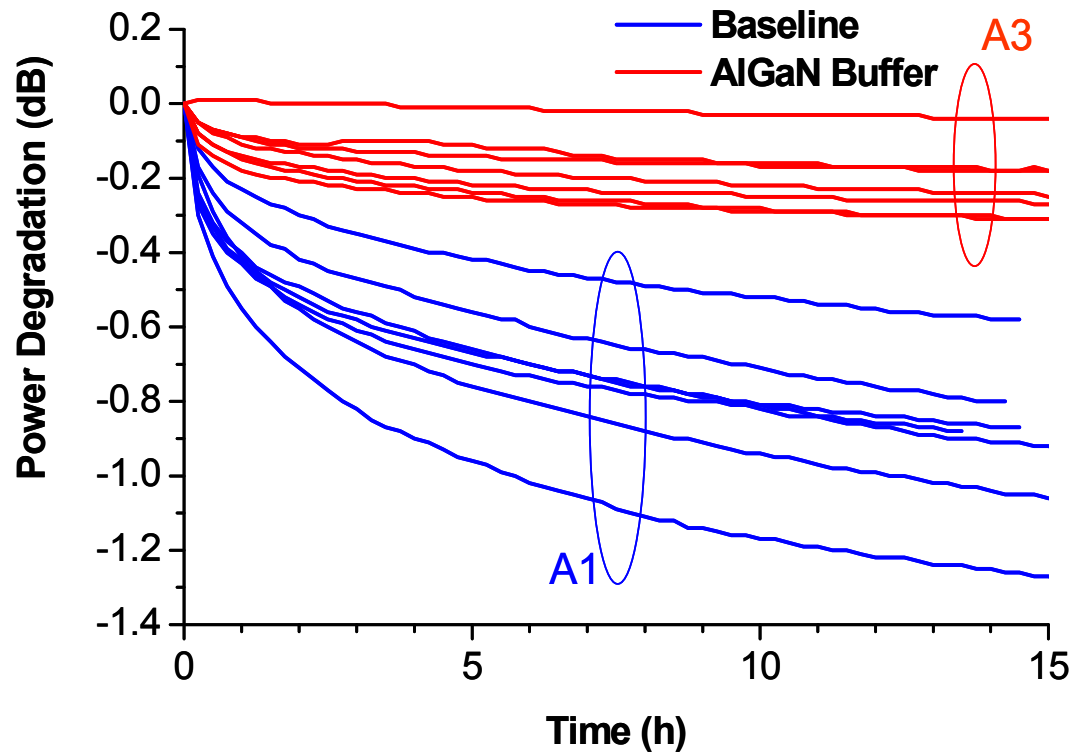
Lee, TED 2005



Jimenez, TWHM 2009

# 1. Elastic energy density in AlGaN barrier is minimized (cont.):

- AlGaN buffer layer [Joh 2006]
- No AlN spacer [ref?]



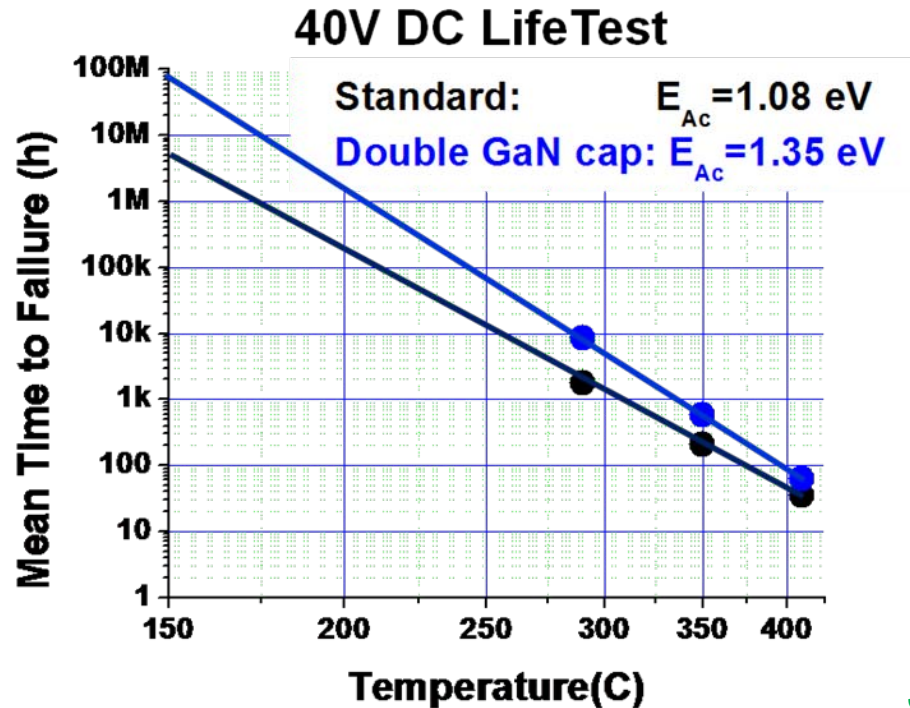
Joh, IEDM 2006



# Consequences: HEMT reliability improved if...

## 2. AlGaN barrier is mechanically strengthened:

- GaN cap [Gotthold 2004, Ivo 2009, Jimenez 2009]
- SiN passivation [Mittereder 2003, Edwards 2005, Derluyn 2005, Marcon 2009]



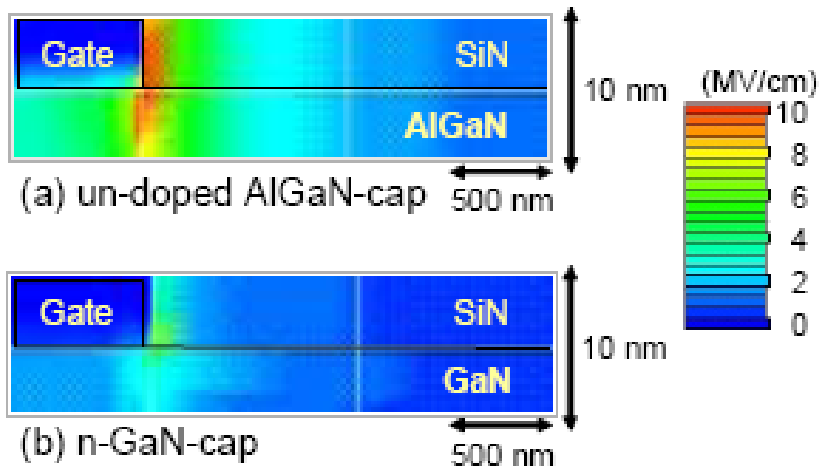
Jimenez, TWHM 2009

# Consequences: HEMT reliability improved if...

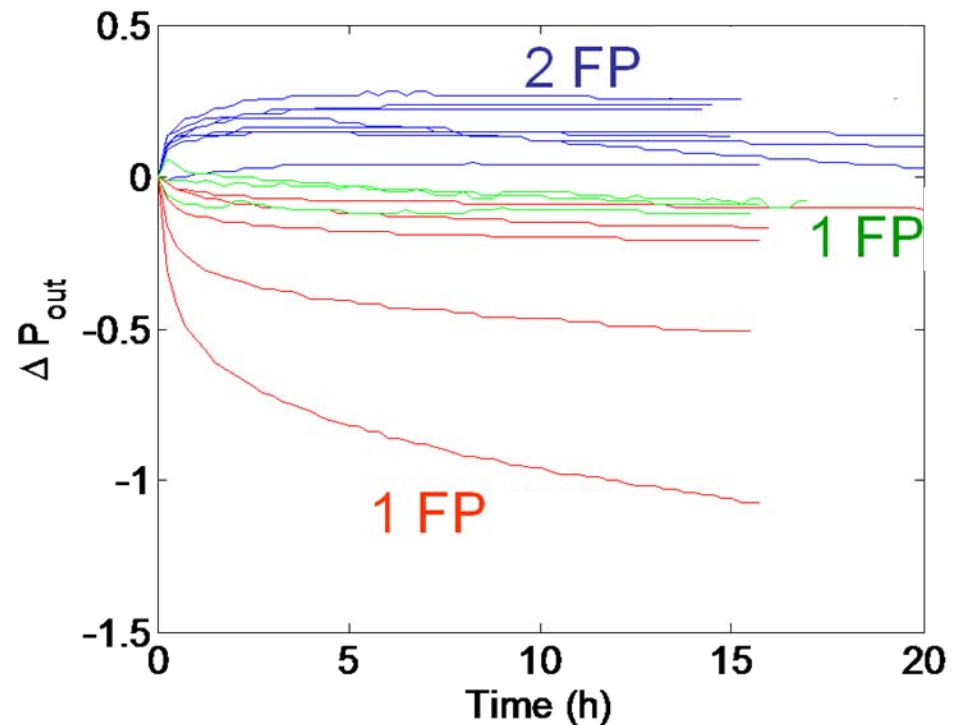
## 3. Electric field across AlGaN at gate edge is minimized:

- Field plate [Lee 2003, Jimenez 2006]
- Longer gate-drain gap [Valizadeh 2005]
- Add GaN cap [Ivo 2009, Ohki 2009]
- Rounded gate edge [ref?]

Jimenez, ROCS 2006



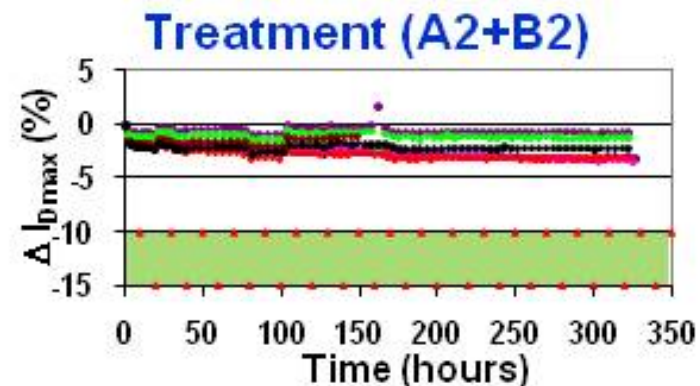
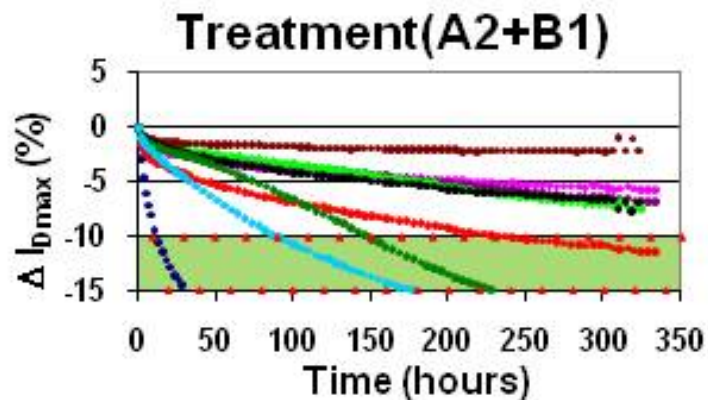
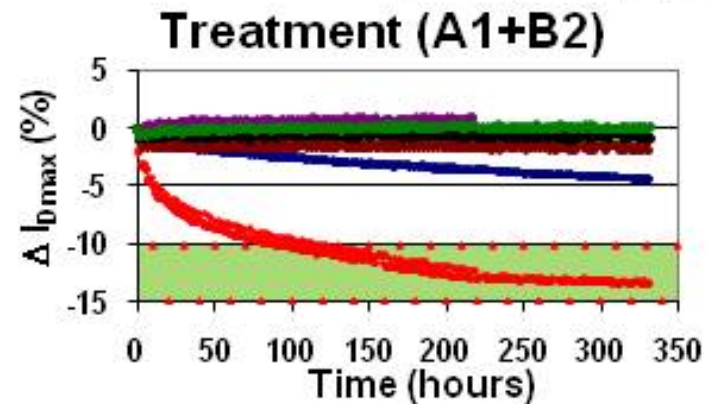
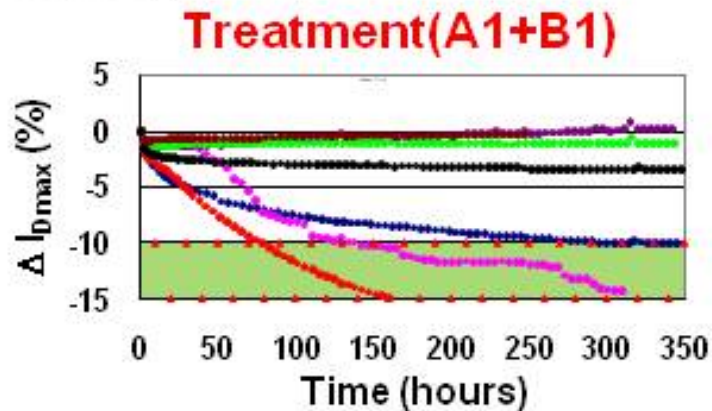
Ohki, IRPS 2009



# Many unknowns

- What is the detailed nature of the defects at the gate edge?
  - Crack?
  - Metal diffusion down crack?
  - Aggregation of dislocations?
  - Other crystalline defects
- Role of stress gradient?
- Role of time?
- Role of temperature?
- Hot electron damage in high-power state?
- Are these mechanisms relevant under large RF drive?
- Why spatial variations?
- Role of buffer?
- Role of surface and surface treatments?

# The surface matters...



Surface treatments prior to ohmic metal deposition and gate evaporation impact reliability

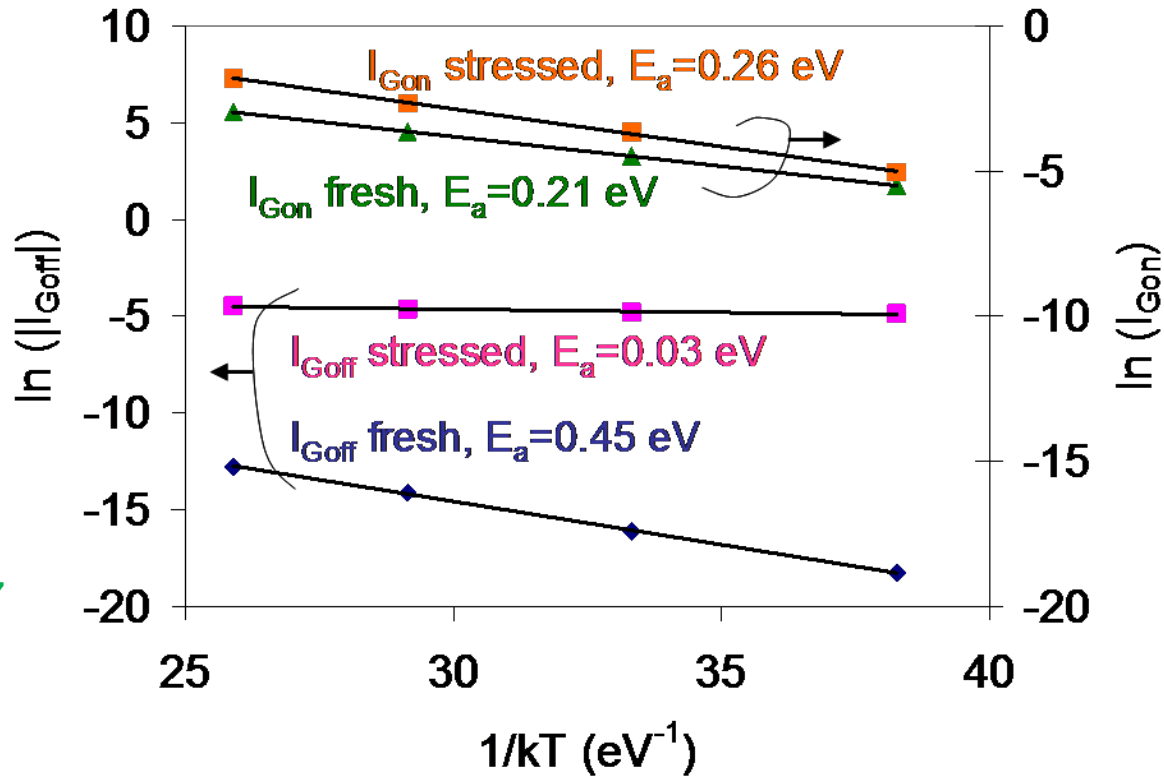
## 6. Conclusions

- Unique degradation aspects of AlGaN/GaN HEMTs with relevance to degradation
- Need fundamental research to provide understanding
- Many opportunities to improve reliability
- Not obvious today how to accelerate degradation to provide accurate estimation of MTTF
- Optimistic about long-term prospects of reliable GaN HEMTs

# More materials

# $V_{DS}=0$ Degradation

$V_{DS}=0$  step-stress;  $V_{DG}$ : 10 to 50 V, 1 V/step, 1 min/step



Joh, IEDM 2007

$E_a(I_{Goff}) \downarrow$

$E_a(I_{Gon})$  unchanged